

ASIAN INSTITUTE OF INTERNATIONAL FINANCIAL LAW

www.AIIFL.com

亞洲國際金融法研究院

July 2008 – June 2018



FACULTY OF LAW, THE UNIVERSITY OF HONG KONG

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DIRECTOR'S MESSAGE

The **Asian Institute of International Financial Law** (AIIFL), based in the University of Hong Kong (HKU) Faculty of Law, has developed into a leading financial law academic centre in Asia and one of the Asia's leading commercial and corporate law research centres. Founded in 1999, AIIFL has the following three primary objectives:

- To support and enhance the development of Faculty and University expertise in commercial, corporate and financial law;
- To support the sharing of knowledge and engagement of the community in commercial, corporate and financial law matters through educational initiatives and events; and
- To support the development of economic and financial law and policy in the region, and thereby economic development, especially in China and Asia, through relevant research and public service.

AIIFL continues to focus on supporting the economic development through better commercial, corporate and financial law and policy, focusing on the following research programmes:

- Competition/Antitrust
- Corporate Law and Governance
- Economic Law and Policy
- Financial Law and Regulation
- Insolvency and Restructuring
- Insurance Law Group
- One-Belt-One-Road
- Real Estate Law and Finance
- Taxation

Rather than issuing an Annual Report for 2017-2018, we have produced a cumulative, expanded Report that offers a fuller picture of the broad array of AIIFL activities and the significant research output of AIIFL Fellows in the past 10 years from July 2008 to June 2018.

In looking forward, it is important to build upon our successes and to continue to strive to be the leading commercial, corporate and financial law academic centre in the region.

AIIFL will celebrate its 20th Anniversary in 2019. During this period of time, we shall organise a series of activities and events and hope that you will be able to join us.

We would welcome any comments and suggestions regarding the Institute and/or its activities at any time by emailing to us at fkleung@hku.hk.

Gary Meggitt
Director
2018

PEOPLE

Board of Management

Current Members

Directors

Gary Meggitt, Associate Professor, Department of Professional Legal Education, HKU

Deputy Directors

Professor Richard Cullen, Department of Law, HKU

Kelvin H. Kwok, Assistant Professor, Department of Law, HKU

Dr Emily Lee, Associate Professor, Department of Law, HKU

Dr Maisie Ooi, Associate Professor, Department of Law, HKU

Dean of the Faculty of Law (ex-officio)

Professor Michael Hor

Head of the Department of Law (ex-officio)

Professor Zhao Yun

Teacher Responsible for the LLM (CFL) Programme (ex-officio)

Professor Douglas W. Arner, Kerry Holdings Professor in Law, HKU

Associate Dean Responsible for Higher Degrees (ex-officio)

Professor Simon N.M. Young, Associate Dean (Research), Faculty of Law, HKU

Interdisciplinary Member from HKU

Professor Chen Lin, Chair Professor of Finance, Faculty of Business and Economics

Academic Advisory Board

Current Members

Chairman

Professor Joseph J. Norton, James L Walsh Distinguished Faculty Fellow and Professor of Financial Institutions Law, Southern Methodist University (United States)

Vice Chairman

Professor Charles D. Booth, Professor of Law and Director, Institute of Asian-Pacific Business Law (IAPBL), William S. Richardson School of Law, University of Hawaii at Manoa (United States)

Members

Professor Mads Andenas, Institute of Private Law, DE, Faculty of Law, University of Oslo and Institute of Advanced Legal Studies, University of London (Norway)

Professor John Armour, Hogan Lovells Professor of Law and Finance, Oxford University (United Kingdom)

Hon Mr Justice William Blair, Royal Courts of Justice (United Kingdom)

Professor Ross Buckley, Professor of Law, University of New South Wales (Australia)

Professor Stijn Claessens, Professor of International Finance Policy, University of Amsterdam; Senior Adviser, Board of Governors of the Federal Reserve System (United States)

Professor John C. Coffee Jr., Adolf A. Berle Professor of Law, Columbia Law School (United States)

Hon Mr Justice Ross F. Cranston, Royal Courts of Justice (United Kingdom)

Professor John Farrar, Emeritus Professor, Bond University (Australia)

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Professor Benjamin Geva, Professor of Law, Osgoode Hall Law School, York University (Canada)

Professor Norbert Horn, Professor of Law, Arbitration Documentation and Information Center, University of Cologne (Germany)

Professor Hisaei Ito, Chuo University Law School (Japan)

Professor Angela Itzikowitz, Nedcor Professor of Banking Law, University of the Witwatersrand, and Director, Edward Nathan (South Africa)

Professor Andreas Kellerhals, Director, LLM Program in International Business Law, University of Zurich (Switzerland)

Professor Jan Kleineman, University Professor and Director, Stockholm Centre for Commercial Law, Stockholm University (Sweden)

Professor John Lowry, Emeritus Professor of Commercial Law, Faculty of Laws, University College London (United Kingdom)

Nobel Laureate Professor Eric Maskin, Adams University Professor, Harvard University (United States)

Dr Arthur McInnis, former Professional Consultant, School of Law, Chinese University of Hong Kong (Hong Kong)

Professor Geoffrey P. Miller, New York University School of Law (United States)

Professor Ruth Plato-Shinar, Director, The Center for Banking Law, Netanya Academic College (Israel)

Professor Dan Prentice, Professor Emeritus, University of Oxford (United Kingdom)

Professor Ian Ramsay, Director, Centre of Corporate Law and Securities Regulations, University of Melbourne (Australia)

Professor Mark Roe, David Berg Professor of Law, Harvard Law School (United States)

Professor Roberta Romano, Sterling Professor of Law and Director, Yale Law School Center for the Study of Corporate Law (United States)

Professor Steven L. Schwarcz, Stanley A. Star Professor of Law and Business, Duke University School of Law (United States)

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Dr Michael Taylor, Managing Director and Chief Credit Officer – Asia-Pacific, Moody's (Hong Kong)

Professor George Walker, Centre for Commercial Law Studies, Queen Mary, University of London (United Kingdom)

Professor Wang Weiguo, China University of Political Science and Law and Chairman, Banking Law Association of China (China)

Professor Wang Wen-Yeu, Center for Corporate and Financial Law, National Taiwan University (Taiwan)

Professor Rolf H. Weber, Chair Professor, University of Zurich (Switzerland)

Professor Richard Wong, SBS, JP, Professor of Economics and Philip Wong Kennedy Wong Professor in Political Economy, School of Economics and Finance, The University of Hong Kong (Hong Kong)

Professor Philip Wood, Visiting Professor in International Financial Law, University of Oxford; Yorke Distinguished Fellow, University of Cambridge; Visiting Professor, Queen Mary, University of London; Visiting Professor, London School of Economics & Political Science (United Kingdom)

Professor Sarah Worthington, Downing Professor of the Laws of England, University of Cambridge (United Kingdom)

Professor Zhu Ciyun, Professor of Law, Tsinghua University Law School (China)

Professional Advisory Board

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Anthony Neoh, SC, (Hong Kong)

Vice Chairman

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Members

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Michael Liu, Partner, Cadwalader, Wickersham & Taft LLP (Hong Kong)

Tasuku Matsuo, Senior Partner, Matsuo & Kosugi (Japan)

Professor Mitsuo Matsushita, Advisor, Nagashima Ohno & Tsunematsu and Emeritus Professor, The University of Tokyo (Japan)

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Nell Minow, Vice Chair, ValueEdge Advisors (United States)

Kenneth Ng, former General Counsel Asia Pacific, HSBC (Hong Kong)

Arnold M. Quittner, Of Counsel, Peitzman, Weg & Kempinsky LLP (United States)

Nick Seddon, Partner, Beaton Capital and Partner, Olivier Cheah (Hong Kong)

Dr Shinjiro Takagi, Advisor, Nomura Securities Company Limited (Japan)

Alan C. W. Tang, Partner, Shinewing (HK) CPA Limited (Hong Kong)

Michelle Taylor, Partner, Tiang & Co (Hong Kong)

Wong Yong Kai, Head of Legal & Compliance, CITIC Capital Holdings Limited (Hong Kong)

Julia Walker, Head of Market Development, Risk, Asia Pacific, Thomson Reuters (Singapore)

Fellows

Current Members

Faculty of Law, HKU

- **Dr Shahla Ali**, Associate Professor, Department of Law
- **Professor Douglas W. Arner**, Kerry Holdings Professor in Law, Department of Law
- **Dr Felix Chan**, Associate Professor, Department of Professional Legal Education
- **Thomas Cheng**, Associate Professor, Department of Law
- **Wilson Chow**, Associate Professor and Head, Department of Professional Legal Education
- **Professor Richard Cullen**, Department of Law and Deputy Director, AIIFL
- **Professor Say Goo**, Department of Law
- **Dr Gu Weixia**, Associate Professor, Department of Law
- **Dr Eric C. Ip**, Associate Professor, Department of Law
- **Syren Johnstone**, Principal Lecturer, Department of Law
- **Kelvin H. Kwok**, Assistant Professor, Department of Law
- **Professor Alexa Lam**, Professor of Legal Practice, Department of Law
- **Alice Lee**, Associate Professor, Department of Law
- **Dr Emily Lee**, Associate Professor, Department of Law and Deputy Director, AIIFL
- **Dr Paul Lejot**, Principal Lecturer, Department of Law
- **Dr Li Yahong**, Associate Professor, Department of Law
- **Katherine Lynch**, Associate Professor, Department of Law
- **Gary Meggitt**, Associate Professor, Department of Professional Legal Education and Director, AIIFL
- **Dr Maisie Ooi**, Associate Professor, Department of Law and Deputy Director, AIIFL
- **Professor Anselmo Reyes**, Professor of Legal Practice, Department of Law
- **Ji Lian Yap**, Associate Professor, Department of Law
- **Professor Simon N.M. Young**, Associate Dean (Research), Faculty of Law
- **Professor Guanghua Yu**, Department of Law
- **Dr Angela Zhang**, Department of Law
- **Professor Zhang Xianchu**, Department of Law

Other HKU Faculties

- **Professor Eric C. Chang**, Chair of Finance, Chung Hon-Dak Professor in Finance, Faculty of Business and Economics
- **David S. Lee**, Senior Lecturer, Faculty of Business and Economics
- **Professor Chen Lin**, Chair Professor of Finance, Faculty of Business and Economics
- **Dr Alan Siu**, Associate Professor, Faculty of Business and Economics
- **Professor Frank Song**, Director, Centre for China Financial Research and Professor, Faculty of Business and Economics
- **Dr Maurice Tse**, Associate Professor, Faculty of Business and Economics

Honorary Fellows

- **Professor Michael Adams**, Dean, School of Law, University of Western Sydney (Australia)
- **Professor Ross Buckley**, Professor of Law, University of New South Wales (Australia)
- **Michael Burke**, Associate, Perkins Coie LLP (United States)
- **Wei Cai**, Postdoctoral Research Fellow, Shenzhen Stock Exchange (China)

- **Anne Carver**, School of Hotel and Tourism Management, CUHK Business School, The Chinese University of Hong Kong (Hong Kong)
- **Susie Cheung**, Chief Legal Counsel and Company Secretary, CUHK Medical Centre Limited; Co-Convenor, Asia-Pacific Structured Finance Association and Adjunct Professor, HKU Department of Engineering (Hong Kong)
- **Sou Chiam**, Head of Regulatory Affairs & Policy Asia Pacific, HSBC (Hong Kong)
- **Antony Dapiran**, Of Counsel, Skadden, Arps, Slate, Meagher & Flom (Hong Kong)
- **Dr Francesco De Pascalis**, Lecturer in Financial Law, Brunel Law School, Brunel University London (United Kingdom)
- **Raymond Goh**, Vice President, Investment Banking Division (IBD) Legal, Barclays Capital Asia Limited Hong Kong (Hong Kong)
- **Julian Gooding**, Director, Legal and Compliance, Credit Suisse (Hong Kong)
- **Dr Guo Li**, Associate Professor and Assistant Dean, School of Law, Peking University (China)
- **Dr Sarah Hinchliffe**, Assistant Professor of Tax and Accounting, University of Akron (United States)
- **Norman Pai Ho**, Assistant Professor of Law, Peking University School of Transnational Law (China)
- **Professor Der-Chin Horng**, Research Fellow, Institute of European and American Studies, Academia Sinica (Taiwan)
- **Professor Berry Hsu**, Professor of Law, CTBC (China Trust Bank Corporation) Business School, Tainan (Taiwan)
- **Dr Pablo Iglesias-Rodríguez**, Senior Researcher, Faculty of Law, VU University Amsterdam (Netherlands)
- **Young In**, former Research Assistant Professor, University of Hong Kong (United States)
- **Taroh Inoue**, Legal Director, SunEdison Japan Corporation (Japan)
- **Danny Kan**, Counsel, Linklaters (Hong Kong)
- **Giovanna Kwong**, Principal, Kwongs in association with SJ Berwin LLP (Hong Kong)
- **Eugene Lai**, Stephenson Harwood (Hong Kong)
- **Dr Avnita Lakhani**, Assistant Professor, City University of Hong Kong (Hong Kong)
- **Dr Alex Lau**, Associate Professor, Department of Accountancy and Law, Hong Kong Baptist University (Hong Kong)
- **Michael Law**, Manager, Hong Kong Monetary Authority (Hong Kong)
- **Lapman Lee**, Managing Director, Compliance and Regulatory Consulting, Duff & Phelps (Hong Kong) Limited (Hong Kong)
- **Professor Jing Leng**, East China University of Politics and Law (China)
- **Dr Sean Leonard**, Advisor, commentator and writer on US, PRC and International Trade Law (Hong Kong)
- **Iris Leung**, Senior Associate, US Corporate Group, Freshfields Bruckhaus Deringer (Hong Kong)
- **Laurence L. Li**, Barrister and Former Director of Corporate Finance, Hong Kong Securities and Futures Commission (Hong Kong)
- **Pauline Li**, Consultant, Grashield Consulting Services (Hong Kong)
- **Georg Ph. Lienke**, Attorney-at-law, Rödl & Partner (Germany)
- **Martin Lister**, General Counsel and Head of Legal & Compliance, Transamerica Life (Bermuda) Limited (Hong Kong)
- **Benjamin Liu**, Senior Lecturer, Commercial Law Department, Business School, The University of Auckland (New Zealand)
- **Timothy Loh**, Founder & Managing Principal, Timothy Loh, Solicitors (Hong Kong)
- **Chee Keong Low**, Associate Professor, School of Accountancy, Chinese University of Hong Kong (Hong Kong)
- **Professor John Lowry**, Emeritus Professor of Law, UCL Faculty of Laws (United Kingdom)
- **Professor Véronique Magnier**, Professor, University of Paris XI (France)

- **Dr Pierpaolo Marano**, Associate Professor of Commercial Law, Catholic University of the Sacred Heart in Milan (Italy)
- **Stefano Mariani**, Counsel, Deacons (Hong Kong)
- **Diana Massada**, Guest Professor at Sun Yat-sen University, Zhuhai and Consultant at Surveys, Consulting and Investments (Macau)
- **Marshall Mays**, Senior Analyst, Emerging Alpha Advisors (Hong Kong)
- **Dr Arthur McInnis**, former Professional Consultant, School of Law, Chinese University of Hong Kong (Hong Kong)
- **Professor Robert Merkin**, Lloyd's Professor of Commercial Law, University of Exeter (United Kingdom)
- **Dr Andrea Minto**, Assistant Professor in Law and Economics, Utrecht University (The Netherlands)
- **Dr Konrad Osajda**, Associate Professor, Faculty of Law and Administration, University of Warsaw (Poland)
- **Professor Ruth Plato-Shinar**, Director, The Center for Banking Law, Netanya Academic College (Israel)
- **Dr Frederik Pretorius**, former Associate Professor, Department of Real Estate and Construction, HKU (Hong Kong)
- **Dr Lifan Pu**, Post Doctor, Research Center, Shanghai Stock Exchange (China)
- **Dr Dongmei (Doreen) Qiu**, Assistant Professor, Law School, Xiamen University (China)
- **Davide Rovetta**, Counsel, Grayston & Company (Belgium)
- **Professor Dr Ulrich G. Schroeter**, Professor of Private Law, University of Basel (Switzerland)
- **Professor Wei Shen**, Professor of Law at Shanghai Jiao Tong University Ko Guan School of Law (China)
- **Dr Jin Jean Sheng** (Hong Kong)
- **James Shipton**, Executive Director, Harvard Law School's Program on International Financial Systems (United States)
- **I-Ping Soong**, Managing Associate, Derivatives and Structured Products Group, Linklaters (Hong Kong)
- **Alessandro Spano**, Research Fellow, University College London (United Kingdom)
- **Juan Ignacio Stampalija**, Latin American Lawyer (Argentina)
- **Edward L.F. Suen**, Managing Director/Principal Consultant, Bovis Consultants Limited (Hong Kong)
- **Kristi L. Swartz**, Office Managing Partner, Bryan Cave Leighton Paisner LLP (Hong Kong)
- **Brian Tang**, Managing Director and Founder, Asia Capital Markets Institute (Hong Kong)
- **Padraig Walsh**, Partner, Tanner De Witt Solicitors (Hong Kong)
- **Dr Qun Wan, Lilia**, Head of China, Standard Life Aberdeen Plc (Hong Kong)
- **Dr Jiangyu Wang**, Associate Professor, Faculty of Law, National University of Singapore (Singapore)
- **Dr Wei Wang**, Associate Professor of Law, Fudan University Law School, Shanghai (China)
- **Dr Mark Williams**, Associate Professor of Law, Hong Kong Polytechnic University (Hong Kong)
- **Claire Wilson**, Lecturer in Law, Hong Kong Shue Yan University (Hong Kong)
- **Patricia Woo**, Of Counsel, Squire Patton Boggs (Hong Kong)
- **Dr Simon Wu**, Legal Consultant, Edward, Lau, Wong & Lou (United Kingdom)
- **Professor Duoqi Xu**, Professor of Law at Shanghai Jiao Tong Ko Guan School of Law (China)
- **Dr Yan Xu**, Assistant Professor and Director, Centre for Financial Regulation and Economic Development, The Chinese University of Hong Kong (Hong Kong)
- **Maneesh Yadav**, Assistant Professor, Jaipuria Institute of Management, Lucknow (India)
- **Dr Ling Zhu**, Associate Professor, Department of Logistics and Maritime Studies, The Hong Kong Polytechnic University (Hong Kong)

Visiting Fellows

- **Dr Giuliano G. Castellano**, School of Law, University of Warwick
- **Professor Piet Eeckhout**, Dean, UCL Faculty of Laws
- **Professor Berry Hsu**, China Trust Bank Corporation, Business School, Tainan, Taiwan
- **Dr Hsu Pei-Lin**, China Trust Bank Corporation, Business School, Tainan, Taiwan
- **Dr Sarah Hinchliffe**, Assistant Professor of Tax and Accounting, University of Akron
- **Eugene Lim**, Former Head, Baker & McKenzie's Tax, Trade and Wealth Management, Singapore
- **Professor Véronique Magnier**, Paris-Saclay University
- **Dr Konrad Osajda**, Faculty of Law and Administration, University of Warsaw
- **Dr Thomas Puschmann**, Head of Swiss FinTech Innovation Lab, University of Zurich
- **Professor Shieh Jer-Shenq**, National Chung Cheng University, Taiwan
- **Professor Dr Florent Thouvenin**, Chair for Information and Communication Law and Co-Chair of ITSL, Faculty of Law, University of Zurich
- **Wai Yee Wan**, Associate Professor, Singapore Management University
- **Professor Wang Chih-Cheng**, National Chung Cheng University, Taiwan
- **Professor Dr Rolf H. Weber**, Professor Emeritus of Private, Business and European Law and Co-Chair of ITSL, Faculty of Law, University of Zurich
- **Dr Peter Whelan**, School of Law, University of Leeds
- **Professor Jane K. Winn**, Charles I. Stone Professor of Law, University of Washington
- **Dr Zong Ning**, Zhejiang University of Technology

Postgraduate Student Fellows

- **Junqing Chao**, PhD
- **Alex Fung Chun Pang**, LLM in Compliance and Regulation
- **Lillie Lam Lai Fong**, LLM in Compliance and Regulation
- **Jiaolong Liang**, PhD
- **Yulan Liu**, JD
- **Natalie Lo Hin Lam**, LLM in Compliance and Regulation
- **Wai Tim Wong**, LLM in Corporate and Financial Law

Undergraduate Student Fellows

- **Anthony Chan Man Chit**, LLB & BSocSc (Government & Laws)
- **Jeremy Lam Hiu Yat**, LLB
- **Herman Ng Ho Hin**, Bachelor of Science in Quantitative Finance

ACTIVITIES

Training

AIIFL, CLLC Training Centre Limited and Private Wealth Management Association **Certificate in Compliance & Risk Management for Private Wealth Management Professionals**, June 2018

Intensive Course **An Introduction to International Taxation** presented by *Dr Sarah Hinchliffe*, Assistant Professor of Tax and Accounting, University of Akron, June 2018

AIIFL, CLLC Training Centre Limited and Private Wealth Management Association **Certificate in Compliance & Risk Management for Private Wealth Management Professionals**, September-October 2017

Intensive Course **An Introduction to International Taxation** presented by *Sarah Hinchliffe*, Assistant Professor of Tax and Accounting, University of Akron, June 2017

AIIFL, CLLC Training Centre Limited and Private Wealth Management Association **Certificate in Compliance & Risk Management for Private Wealth Management Professionals**, November-December 2016

AIIFL, CLLC Training Centre Limited and Private Wealth Management Association **Certificate in Compliance & Risk Management for Private Wealth Management Professionals**, June-July 2016

Intensive Course **An Introduction to International Taxation** presented by *Sarah Hinchliffe*, Visiting Professor of Tax, College of William and Mary Mason School of Business, June 2016

AIIFL, CLLC Training Centre Limited and Private Wealth Management Association **Certificate in Compliance & Risk Management for Private Wealth Management Professionals**, April-May 2016

Intensive Course **Senior Executive Programme Course: Recent Developments in Shipping Law**, January 2016

AIIFL and CLLC Training Centre Limited **Penetrating into the High Networth Segment and be an Effective Trusted Advisor**, August 2015

AIIFL and CLLC Training Centre Limited **Certificate in Compliance, Ethics and Regulation for Private Banking and Wealth Management Professionals**, May-June 2014

Intensive Course **An Introduction to International Taxation** presented by *Sarah Hinchliffe*, Teaching Fellow, University of Melbourne, June 2012

Conferences and Workshops

Banking and Financial Markets Workshop: **Taiwan Financial Sectors and Belt and Road Initiative**, 9-10 June 2018

Conference **Systemic Risk in the Financial Sector: Ten Years after the Global Financial Crisis**, 24 May 2018

Conference **ICOs: Moving Forward on Best Practices**, 24 March 2018

Global Legal Hackathon in Hong Kong, 23-25 February 2018

Conference **Asian Financial Law**, 23 January 2018

University of Zurich, AIIFL and Law and Technology Centre Workshop **FinTech and Data Ownership: Legal Issues of Digital Business Models**, 26 October 2017

Conference **Insurance Law and Practice: Challenges and Opportunities**, 16 October 2017

AIIFL and SMU Dedman School of Law **Colloquium (Part II) “Innovations in Financial Law and Systems”: A Tribute to Professor Joseph J. Norton**, 5 May 2017

第五屆財產法論壇在河南大學法學院舉行 (The 5th Cross-Strait Financial Law Forum held at Henan University, China), 22 April 2017

AIIFL supported the HKSAR Companies Registry’s **Corporate Governance Roundtable**, 13 March 2017

AIIFL, DE CHAPEAUROUGE + PARTNERS (Frankfurt, Hamburg and New York) and ONC Lawyers (Hong Kong) **Shareholder Engagement and Activism in Hong Kong**, 11 November 2016

AIIFL supported FINNOVASIA Academy Workshop **The Future of FinTech in China**, 7 November 2016

Asia-Pacific Structured Finance Association (APSA) and AIIFL Luncheon Roundtable **Proposed Enhancements to The Stock Exchange of Hong Kong Limited’s Decision-Making and Governance Structure for Listing Regulation**, 31 October 2016

Conference **China’s Identity in International Law**, 1-2 September 2016

AIIFL supported The International Bar Association Law Students’ Committee Mid-Year Conference Hong Kong 2016 **Financial and Corporate Law in Asia**, 16-17 July 2016

Conference **Integration and Interconnectedness in Global Finance**, 24-25 June 2016

Conference in **Honour of Professor Joseph J. Norton: Innovation in Financial Law and Systems (Part I)**, 5-6 May 2016

第四屆兩岸四地金融法論壇：一帶一路對金融法帶來的挑戰與機遇 (The 4th Cross Strait Financial Law Forum: One Belt One Road and the Opportunities and Challenges for Financial Law), 16-17 April 2016

Conference **Finance in Asia: Regulating Regional Markets**, 6 November 2015

Conference **Renovating the Hong Kong Revenue Regime: The Local, Cross-border and International Contexts**, 31 October 2015

Conference **Unlocking Value in the PRC: Chinese Restructuring and its Cross-Border Implications**, 23 October 2015

Conference **Insurance Law and Practice 2015**, 3 June 2015

The 2nd Annual Symposium **EU-Asia Relations in Financial Services**, 27-29 May 2015

HKIMR-HKU International Conference **Finance, Institutions and Economic Growth**, 22 May 2015

Conference **US-China Legal Summit**, 2 March 2015

The 15th Annual International Maritime Law Arbitration Moot (IMLAM) 2014, 4-8 July 2014

Conference **Reconceptualising Global Finance and its Regulation**, 13-14 December 2013

Conference **Hong Kong Insurance Law and Practice**, 6 June 2013

Workshop **Harmonizing Cross-Strait Financial Regulations**, 13 April 2013

AIIFL and CLLC Training Centre Limited Workshop **Capturing the Aurora of the Dawn after the Financial Crisis**, 15 September 2012

AIIFL and CLLC Training Centre Limited Workshop **Essential Workshop for Banking, Insurance & Dispute Resolution Professionals: Mastering Negotiation, Dispute & Complaint Handling Skills and Getting Ready for the Launch of the Financial Dispute Resolution Centre ("FDRC")**, 10 March 2012

Taxation Law Research Programme (TLRP) and WU (Vienna University of Economics and Business) the Third TLRP International Conference **The European Union and Greater China: Understanding the Fundamentals of the New Taxation Relationship**, 25 February 2012

AIIFL and University of Luxembourg Conference **Legal Issues in Fund Distribution**, 8 December, 2011

Baker & McKenzie, Centre for Comparative and Public Law and AIIFL Symposium **Environmental Markets: A New Frontier for Asia?**, 4 November 2011

AIIFL and CLLC Training Centre Limited Workshop **Be a Winner in the New Era of Banking: Regulations, Business Opportunities & the FDRC**, 20, 24, 27 and 29 September 2011

EAIEL/AIIFL, Law, Policy and Development Strategic Research Theme, HKU and CUHK Faculty of Law Asian International Economic Law Network (AIELN) Conference 2011 **The Global Financial and Economic Crisis and the Post-Crisis International Economic Law Environment**, 15-16 July 2011

AIIFL and the Hong Kong Insurance Law Association (HKILA) Inaugural Conference **The Future of Hong Kong Insurance Law**, 16 June 2011

TFCTN/EAIEL/AIIFL Workshop **Present at the Beginning: The Trans-Pacific Partnership (TPP) Trade Agreement**, 25 February 2011

TLRP/AIIFL and Civic Exchange Second International Conference **Green Taxation in East Asia: Problems and Prospects**, 29 January 2010

AIIFL and the Institute of Asian-Pacific Business Law, The William S. Richardson School of Law, University of Hawaii at Manoa Symposium **Corporate Rescue in Hong Kong: The Government's 2009 Legislative Proposals**, 22 January 2010

AIIFL, CUHK Faculty of Law, Heyman Center on Corporate Governance, Cardozo School of Law, Yeshiva University Colloquium **Current Issues in Financial Regulation**, 12 January 2010

AIIFL and HKU Department of Real Estate & Construction Symposium **The Convergence of Property Rights among Mainland, Taiwan, Macau, and Hong Kong**, 2-3 August 2009

Public Lectures and Seminars

Seminar **Assessing the Impact of Law and Legal Culture on the Development of FinTech in the US, UK, EU and China** presented by *Professor Jane K. Winn*, Charles I. Stone Professor of Law, University of Washington, 14 June 2018

TLRP/AIIFL Seminar **Investments, Pensions, and Strategic Planning – Taxation Opportunities and Traps for Hong Kong Residents and Expats** presented by *Dr Sarah Hinchliffe*, Assistant Professor of Tax and Accounting, University of Akron, 12 June 2018

HKU Law and Technology Centre and AIIFL Seminar **Blockchain & IP related Transfer Pricing Arrangements** presented by *Dr George Tian*, Senior Lecturer, Faculty of Law, University of Technology Sydney, 28 May 2018

Seminar **Securities and (Derivatives?) Trading and Clearing in the Era of Blockchain: A Glass Half Full!** presented by *Professor Emiliós Avgouleas*, Chair in International Banking Law and Finance, University of Edinburgh and Visiting Research Professor, Faculty of Law, HKU, 3 May 2018

Seminar **Cryptocurrencies: Beyond the Hype Key Issues, Risks and Solutions** presented by *Urszula McCormack*, Partner, King & Wood Mallesons, 16 April 2018 (This Seminar was organised by the AIIFL Student Fellow.)

TLRP/AIIFL Seminar **Multinationals under Siege – The Changing Nature of International Tax and Trade Disputes** presented by *Eugene Lim*, Former Head, Baker & McKenzie, Singapore, 11 April 2018

TLRP/AIIFL Seminar **The Arm's Length Price Myth** presented by *Eugene Lim*, Former Head, Baker & McKenzie, Singapore, 9 April 2018

Seminar **Reflections on Financial Markets Regulation in Hong Kong and Globally** presented by *Ashley Alder, JP*, Chief Executive Officer, Securities and Futures Commission, 23 March 2018 (This Seminar was organised by the AIIFL Student Fellow.)

Seminars **The Future of Aviation Finance: 'Take-off' The Cape Town Convention: Introduction & Assessment** and **'Landing' Hong Kong & the Cape Town Convention** presented by *Tejaswi Nimmagadda*, Registered Foreign Lawyer (England & Wales), King & Wood Mallesons Hong Kong, 14 March 2018 and 21 March 2018

Seminar **Where's the Sheriff? Opportunities and Challenges in Regulating Cryptoexchanges in the Wild West** presented by *Grace Chong*, Registered Foreign Lawyer (Singapore), Financial Services Regulatory, Herbert Smith Freehills, 15 March 2018

Seminar **Public and Private Enforcement of Corporate and Securities Laws: An Empirical Comparison of Hong Kong and Singapore** presented by *Wai Yee Wan*, Associate Professor, Singapore Management University, 7 March 2018

King & Wood Mallesons and AIIFL Seminar **The ICO Goldrush: Is it a Scam, a Bubble or an Important New Financing Technique?** presented by *Professor Ross P Buckley*, King & Wood Mallesons Professor of International Finance Law and Scientia Professor, UNSW Sydney and *Professor Douglas W. Arner*, Kerry Holdings Professor in Law, HKU, 2 March 2018

Seminar **Less is More? Different Regulatory Responses to Crowdfunding and Why the Hong Kong Model Stacks Up Well** presented by *Professor Alexa Lam*, Faculty of Law, HKU, 31 Jan 2018

UNCITRAL Asia Pacific Day 2017: Private International Law Aspects of CISG presented by *Professor Anselmo Reyes*, Faculty of Law, HKU, 18 December 2017

EAIEL/AIIFL Seminar EU Trade Law and Policy in the Brexit/Trump Era presented by *Professor Piet Eeckhout*, Dean, UCL Faculty of Laws, 8 November 2017

Seminar Directors' Liability for an Insolvent Company's Debts: Comparative Law Remarks presented by *Dr Konrad Osajda*, Faculty of Law and Administration, University of Warsaw, 24 October 2017

Seminar China's Proposal of a Multilateral Investment Treaty: A Long Road Ahead presented by *Junqing Chao*, PhD Student, Faculty of Law and AIIFL Postgraduate Student Fellow, HKU, 20 October 2017

Seminar The Criminalisation of Cartel Activity: A Sensible Policy Choice? presented by *Dr Peter Whelan*, School of Law, University of Leeds, 21 September 2017

Seminar Foreign, Cross-Border, Privately Negotiated Acquisitions and Dispositions of China's Non-Performing Loans presented by *Jeffrey H. Chen*, Partner and Head of Structured Finance, Dentons Hong Kong and AIIFL Professional Advisory Board Member, 13 September 2017

Seminar The Regulatory Dimension of Security Rights: Is Secured Transactions Law Prudentially Sound? presented by *Dr Giuliano G. Castellano*, School of Law, University of Warwick, 7 September 2017

Seminar Boards Make-up: A New Corporate Paradigm, for which Governance? presented by *Professor Véronique Magnier*, Paris-Saclay University, 22 August 2017

AIIFL, Centre for Chinese Law and TRS Seminar 家族企業的公司治理: 信託制度在家業傳承的運用及實務案例 講者 *王志誠博士*, 國立中正大學法學院特聘教, 2017 年 8 月 21 日

AIIFL and TRS Seminar 財務信息披露責任保險研究 (A Study on Financial Information Disclosure Liability Insurance) 講者 *宗寧博士*, 浙江工業大學法學院講師, 2017 年 8 月 18 日

AIIFL, Centre for Chinese Law and TRS Seminar 一種新型法律分析模型的使用: 基於 SNA 模型的中國大陸農業生產性基礎設施 PPP 項目法律風險分析研究 (A New Method of Legal Analysis: Research on Risk Assessment of PPP Project in China's Agricultural Productive Infrastructure Based on SNA) 講者 *蔡宗翰博士*, 北京建築大學經管學院副教授, 2017 年 6 月 22 日

AIIFL, Centre for Chinese Law and TRS Seminar 商業價值判斷原則與公司治理之選擇 - 以中國大陸房地產上市公司寶能收購萬科一案中的公司治理為例 (Business Judgement Rule in the Corporate Governance Selection: A Case Study of Corporate Governance in China's Real Estate Listed Companies) 講者 *蔡宗翰博士*, 北京建築大學經管學院副教授, 2017 年 6 月 20 日

TLRP/AIIFL Seminar Expats & Professionals in Greater China: Retirement Planning Opportunities & Challenges presented by *Sarah Hinchliffe*, Assistant Professor of Tax and Accounting, University of Akron, 13 June 2017

Seminar Can Hong Kong Become the InsurTech Hub for Asia? presented by *Lapman Lee*, Managing Director, Duff & Phelps Compliance & Regulatory Consulting, 7 June 2017

AIIFL and TRS Seminar Innovation Law's Lessons for Fixing Qianhai presented by *Dr Bryane Michael*, HKU, 25 May 2017

AIIFL and TRS Seminar **The Qatari Financial Sector: Building Bridges between Domestic and International** presented by *Andrew M. Dahdal*, Assistant Professor of Law, Qatar University; *Professor Gordon R. Walker*, Emeritus Professor, La Trobe University; and *Professor Douglas W. Arner*, Kerry Holdings Professor in Law, HKU, 12 May 2017

Seminar **Detecting Collusion among Competitors – Economic Evidence** presented by *Dr Sharon Pang*, Principal, Charles River Associates, 27 April 2017

AIIFL and Centre for Chinese Law Seminar **Governing the Global Governors: The Competence and Constraints of International Administrative Tribunals** presented by *Peter Quayle*, Asian Infrastructure Investment Bank, 24 April 2017

Centre for Chinese Law and AIIFL Seminar **The Origin and Evolution of China's Institutions: A Comparative Institutional Analysis** presented by *Professor Chenggang Xu*, Professor of Economics, Cheung Kong Graduate School of Business, 19 April 2017

Seminar **Competition Law in Hong Kong and in Ireland: Superficially Similar but Deeply Different?** presented by *Dr Mary Catherine Lucey*, Assistant Professor and Head of Global Engagement, UCD Sutherland School of Law, 31 March 2017

TLRP/AIIFL Seminar **Hong Kong's Involvement with International Tax Reform: What's the 'BEPS'?** presented by *Professor Adrian Sawyer*, University of Canterbury, 30 March 2017

Centre for Chinese Law and AIIFL Seminar **How Lenders Evaluate Lawsuits? Evidence from Corporate Bond Market** presented by *Dr Haitian Lu*, Associate Professor, Faculty of Business, The Hong Kong Polytechnic University, 21 March 2017

AIIFL, CUHK Centre for Financial Regulations & Economic Development and TRS Seminar **"Decoupling" and the Governance of Public Corporations — "Empty Creditors," "Empty Voters," and Hidden Interests** presented by *Professor Henry T.C. Hu*, Allan Shivers Chair in the Law of Banking and Finance, University of Texas Law School, 17 March 2017

AIIFL and Centre for Comparative and Public Law Seminar **Rethinking the Relationship between Criminal Law and Markets** presented by *Professor Lindsay Farmer*, School of Law, University of Glasgow, 9 March 2017

AIIFL and TRS Seminar **Governing the FinTech Revolution: Assessing the Impact a Decade of EU Payment Law Reforms** presented by *Professor Jane K. Winn*, Charles I. Stone Professor of Law, University of Washington, 13 February 2017

AIIFL and TRS Seminar **The Role of Hong Kong's Financial Regulations in Improving Corporate Governance Standards in China – Lessons from the Panama Papers for Hong Kong** presented by *Dr Bryane Michael*, HKU, 10 February 2017

AIIFL and CUHK Centre for Financial Regulations & Economic Development Seminar **Global Development of Islamic Banking and Bonds (Sukuk)** presented by *Justice Datuk Dr Haji Hamid Sultan bin Abu Backer*, Judge of Court of Appeal Malaysia, 19 December 2016

AIIFL and CUHK Centre for Financial Regulations & Economic Development Seminar **The Globalization of Entrepreneurial Litigation: Law, Culture, and Incentives** presented by *Professor John C. Coffee Jr.*, Adolf A. Berle Professor of Law, Columbia Law School, 15 December 2016

Seminar **UNCITRAL Asia Pacific Day 2016: Cross-Border Insolvency** presented by *Dr Emily Lee*, HKU; *Dr Maisie Ooi*, HKU; and *Professor Anselmo Reyes*, HKU, 5 December 2016

AIIFL and TRS Seminar **Compliance Officers and Professionalism in Legal Services Firms in England and Wales** presented by *Professor Joan Loughrey*, School of Law, University of Leeds, 23 November 2016

TLRP/AIIFL Seminar **An Update on Hong Kong's Exchange of Information Developments and Engaging with BEPS** presented by *Professor Adrian Sawyer*, University of Canterbury, 18 November 2016

AIIFL and TRS Seminar **FinTech, RegTech and the Reconceptualization of Financial Regulation** presented by *Professor Douglas W. Arner*, HKU and *Janos Barberis*, HKU, 10 November 2016

Seminar **Cross-Border Investment and Mergers & Acquisitions** presented by *Simon Luk*, Partner and Chair of Asia Practice, Winston & Strawn, Hong Kong, 3 November 2016

AIIFL and TRS Seminar **Regulating Non-bank Financial Intermediaries in Asia** presented by *Dr Christian Hofmann*, Faculty of Law, National University of Singapore, 22 September 2016

AIIFL and TRS Seminar **Legal Foundations of the Asian Infrastructure Investment Bank** presented by *Gerard Sanders*, General Counsel, Asian Infrastructure Investment Bank, 19 September 2016

Career Talk for Students **Thinking of a Career in Finance?** Presented by *Susie Cheung*, Founding Member and Co-Convenor, Asia-Pacific Structured Finance Association (APSA); *Pius Chong*, Managing Director, HSBC; *John Timpany*, Partner, KPMG; and *Kingsley Ong*, Partner, Eversheds, 14 September 2016

AIIFL and TRS Seminar **Technology, Regulation and the Democratization of Financial Services** presented by *Professor Alexa Lam*, Faculty of Law, HKU, 7 September 2016

HKU Institute for China and Global Development, HKU Centre for China Financial Research, AIIFL and TRS Seminar **Investment Banking and Financial Centres Since the Global Financial Crisis** presented by *Professor Dariusz Wójcik*, Professor of Economic Geography, School of Geography and the Environment, University of Oxford, 18 August 2016

AIIFL and TRS Seminar **From Dealing to Dark Pools: Half a Century of Securities Regulation** presented by *Greg Heaton*, Securities and Futures Commission, 11 July 2016

Seminar **Implementation of the Competition Ordinance in Hong Kong** presented by *Rose Webb*, Chief Executive Officer, Competition Commission, 14 June 2016

TLRP/AIIFL Seminar **Are Trusts Still a Strategic Investment Vehicle for Clients?** presented by *Sarah Hinchliffe*, Visiting Professor, College of William and Mary Mason School of Business, 7 June 2016

AIIFL and TRS Seminar **Stock Exchange Competition in the PRC** presented by *Professor Jing Leng*, Professor of Law, East China University of Political Science and Law, 15 April 2016

TLRP/AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **Tax Concessions Granted to Charities – an International Comparison** presented by *Dr Fiona Martin*, School of Taxation and Business Law, UNSW, Sydney, 1 April 2016

AIIFL and TRS Seminar **The Promise and Perils of Crowdfunding** presented by *Professor John Armour*, Hogan Lovells Professor of Law and Finance, University of Oxford, 31 March 2016

TLRP/AIIFL Seminar **Transnational Tax Law: Fiction or Reality, Future or Now?** presented by *Professor Miranda Stewart*, Director, Tax and Transfer Policy Institute, The Australian National University, 23 March 2016

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **The German Law on Conversions – Principles and Experiences after 20 Years** presented by *Professor Dr Ruediger Veil*, Alfried Krupp-Chair for Civil Law, German and International Business and Corporate Law, Bucerius Law School, Germany, 21 March 2016

AIIFL and TRS Seminar **Getting Back to Basics: What is Financial Regulation Trying Solve For?** presented by *James Shipton*, Securities and Futures Commission, 11 March 2016

AIIFL and Centre for Chinese Law Seminar 中國農村社區重劃的法律問題和歷程 – 基於土地政策的研究 講者蔡宗翰博士, 北京建築大學, 2016年1月25日

TLRP/AIIFL Seminar **The Taxation of Trusts in Hong Kong** presented by *Stefano Mariani*, Registered Foreign Lawyer, Deacons, Hong Kong, 2 December 2015

AIIFL and Centre for Chinese Law Seminar **Overlapping Jurisdictions and the Resolution of Disputes before Chinese and Foreign Courts** presented by *Professor Vivienne Bath*, Director, Centre for Asian and Pacific Law, Sydney Law School, University of Sydney, 19 November 2015

AIIFL and TRS Seminar **China's Shadow Banking Industry and Impact on Capital Markets: Ignoring the Lessons of the Past** presented by *Dr Avnita Lakhani*, City University of Hong Kong School of Law, 9 November 2015

EAIEL/AIIFL Seminar **An Arbitrator's Perspective of International Arbitration in Asia** presented by *Professor Leon Trakman*, University of New South Wales, 29 October 2015

AIIFL and TRS Public Lecture **The Duties of Controlling Shareholders-Complex Relationships, Legal Confusion and New Approaches** presented by *Professor John H Farrar*, Emeritus Professor of Law, Bond University, 28 October 2015

AIIFL and TRS Seminar **Building a Global Framework for Investment Funds? A Euro-Asian Comparison** presented by *Professor Dirk Zetsche*, University of Liechtenstein and *Professor Douglas W. Arner*, HKU, 13 October 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **Rethinking Independent Non-Executive Directorships in Hong Kong** presented by *Chee Keong Low*, Associate Professor in Corporate Law, CUHK Business School, CUHK, 8 October 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **New Trends Regarding Sustainability and Integrated Reporting for Companies: What Protection Do Directors Have?** presented by *Professor Jean Jacques du Plessis*, Deakin University, Australia, 21 September 2015

TLRP/AIIFL Seminar **Tax Hot Topics Affecting Dual Residents** presented by *Sarah Hinchliffe*, Visiting Professor of Law, College of William and Mary Mason School of Business, 20 August 2015

AIIFL and APSA Roundtable Discussion **“Should HKEx Allow Weighted Voting Rights?”** presented by *Anthony Neoh, QC, SC*; *Gerard Fehrenbach*, Acting Secretary General, Asian Corporate Governance Association; *Maurice Ngai*, President of The Hong Kong Institute of Chartered Secretaries and *Professor Say Goo*, HKU (Moderator), 10 July 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminars **Enhancing Accountability in Securities Enforcement and Current Issues in Securities Enforcement** presented by *Professor Renee Jones*, Boston College Law School, 18 June 2015

AIIFL and TRS Seminar **Market-Dominant Small Jurisdictions in a Globalizing Financial World** presented by *Professor Christopher Bruner*, Professor of Law and Director, Frances Lewis Law Center, Washington and Lee University, 9 June 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **Does Reputational Risk Limit Opportunistic Behavior in the Venture Capital Industry?** Presented by *Professor Katherine Litvak*, Northwestern University School of Law, 29 May 2015

CUHK Centre for Financial Regulation and Economic Development and AIIFL Seminar **Unbundling and Measuring Tunneling** presented by *Professor Bernard Black*, School of Law, Northwestern University, Kellogg School of Management, Northwestern University, European Corporate Governance Institute (ECGI), 27 May 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **Shareholder Agreements and Corporate Control** presented by *Dr Marilena Filippelli*, Free University of Bozen-Bolzano, Italy, 13 May 2015

EAIEL/AIIFL and TRS Seminar **Critical Analysis of the Trans Pacific Partnership (TPP)** presented by *Professor Raj Bhala*, Rice Distinguished Professor, The University of Kansas School of Law, 6 May 2015

AIIFL, TRS and FinTech Hong Kong Seminar **Regulating FinTech Innovation: A Balancing Act** presented by *Janos Barberis*, Research Fellow, AIIFL and *Professor Douglas W. Arner*, HKU, 1 April 2015

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **Crowdfunding: Solving the SME Funding Problem and Democratising Access to Capital?** presented by *Dr Gordon Walker*, Emeritus Professor of Law, La Trobe University School of Law and Visiting Professor, The University of Houston Law Center, 11 March 2015

AIIFL, Centre for Chinese Law and TRS Seminar **Introduction of the New Draft Foreign Investment Law of China** presented by *Yilong Du*, Executive Director and Senior Counsel, Goldman Sachs, 6 March 2015

AIIFL and TRS Seminar **Behavioural Findings and Financial Market Regulation** presented by *Professor Rolf H. Weber*, Chair, International Business Law, University of Zurich, Visiting Professor, Faculty of Law, HKU and AIIFL Academic Advisory Board Member, 2 February 2015

TLRP/AIIFL Seminar **Legislation by Press Release: Hong Kong's New Stamp Duties – Why & How They Work** presented by *Jianlin Chen*, Assistant Law Professor, Faculty of Law, HKU, 8 January 2015

TLRP/AIIFL Special Joint Seminar **From Felixstowe to Hong Kong** presented by *Philip Baker QC*, Field Court Tax Chambers, London and **Taxation of International Sports Stars and Entertainers** presented by *Patrick Way QC*, Field Court Tax Chambers, London, 18 December 2014

Seminar **Securitization in China – Overview & Issues** presented by *Jeffrey H. Chen*, Partner & Head of Asia Structured Finance, Dentons and AIIFL Professional Advisory Board Member, 4 December 2014

EAIEL/AIIFL Seminar **China and the European Union: The Solar Dispute from a Practitioner's Viewpoint** presented by *Arnoud Willems*, Partner, Sidley Austin, Brussels & EAIEL Senior Fellow, 26 November 2014

Seminar **Market Misconduct: Its Coverage and Must Know Recent Developments** presented by *Mohan Datwani*, Director, Technical and Research, The Hong Kong Institute of Chartered Secretaries (HKICS) and AIIFL Professional Advisory Board Member, 24 November 2014

Seminar **The New European Approach to the Regulation of Public Procurement** presented by *Professor Peter Kunzlik*, Head of Department of Law, University of East Anglia, 13 November 2014

TLRP/AIIFL Seminar **Legal Valuation in Chinese Tax Law** presented by *Professor Xiaoqiang Yang*, Professor of Tax Law, Sun Yat-sen University School of Law and Director, Research Center for Taxation & Financial and Tax Law, Sun Yat-sen University, 5 November 2014

AIIFL and TRS Seminar **Is the Hong Kong Immigration System for Professionals, Executives and Investors an Impediment for Hong Kong's Development as an International Financial Centre?** presented by *Dr Alex Lau*, Hong Kong Baptist University and AIIFL Honorary Fellow, 31 October 2014

AIIFL, HKU International Centre for China Development Studies and TRS Seminar **Financial Centres under Pressure: Geography of Finance in the Post-2008 Era** presented by *Professor Dariusz Wójcik*, Professor of Economic Geography, School of Geography and the Environment, University of Oxford, 21 October 2014

APSA and AIIFL Luncheon Discussion **Roundtable on Corporate Governance in Hong Kong as an International Financial Centre**, 25 September 2014

TLRP/AIIFL and TRS Seminar **Transparency and Exchange of Information for Tax Purposes in China's Context** presented by *Suhua Huang*, Director, Global Cooperation and Compliance Division of International Taxation Department, State Administration of Taxation (SAT), People's Republic of China, 18 June 2014

APSA and AIIFL Luncheon Seminar **Gold & Precious Metals Trading** presented by *Padraig Seif*, CEO, Finemetal Asia Ltd, 13 June 2014

TLRP/AIIFL Seminar **Taxation and Residency Requirements in Hong Kong, Australia, the United Kingdom and the United States – An International Update in 2014 for Individuals, and Corporate Entities** presented by *Sarah Hinchliffe*, Visiting Professor of Law, William and Mary Law School, 12 June 2014

Public Lecture **Terms of Insurance Contracts** presented by *Professor Robert Merkin*, Lloyd's Professor of Commercial Law, University of Exeter and AIIFL Academic Advisory Board Member, 3 June 2014

Seminar **Stress Management - Introductory Comments with Observations on Investments and Financial Industry** presented by *Dr Walter Hannak*, 15 May 2014

Seminar **The Foundations of Hong Kong's Banking Regulation and Supervision: Challenges of Internationalisation in the 1970s and 1980s** presented by *Professor Catherine Schenk*, University of Glasgow and Visiting Research Fellow, Hong Kong Institute for Monetary Research, 29 April 2014

AIIFL, HKU International Centre for China Development Studies and TRS Public Lecture **Building the New Model of Great Powers Relations and US-China Competition in the Asia-Pacific** presented by *Professor Suisheng Zhao*, Director, Center for China-US Cooperation and Josef Korbel School of International Studies, University of Denver, 24 April 2014.

Seminar **Freedom to Exercise Contractual Rights of Termination** presented by *Professor Michael Bridge*, Cassel Professor of Commercial Law, London School of Economics and Professor of Law, National University of Singapore, 4 April 2014

CCPL and AIIFL Seminar **Legal Regulations for Countering Corruption in the Russian Federation** presented by *Professor Dr Eduard Ivanov*, National Research University Higher School of Economics, Moscow, 25 March 2014

TLRP/AIIFL Seminar **Business Tax: Public Debate and Future Trends** presented by *Professor Judith Freedman, CBE*, Pinsent Masons Professor of Taxation Law University of Oxford and Director of Legal Research, Oxford University Centre for Business Taxation, 24 March 2014

Seminar **International Business: Challenges Experienced in a Global and National Interconnected World** presented by *Christer Thordson*, Former General Counsel IKEA Group, 21 March 2014

Seminar **Volcker Rule Final Regulations** presented by *Jeffrey H. Chen*, Partner, Cadwalader, Wickersham & Taft LLP in association with Joseph P.C. Lee & Associates and AIIFL Professional Advisory Board Member, 18 March 2014

CUHK Centre for Financial Regulation and Economic Development and AIIFL Seminar **Images of the Shareholder – The Regulatory Implications of Shareholder Power and Powerlessness** presented by *Professor Jennifer Hill*, Professor of Corporate Law, Sydney Law School and Director, Ross Parsons Centre of Commercial, Corporate and Taxation Law, 12 March 2014

CCPL, AIIFL and HKUSPACE Seminar **Proving Money Laundering in Hong Kong** presented by *Russ Harding*, Detective Inspector, Hong Kong Police, 11 March 2014

Seminar **Conflict of Law Issues in Loan Transactions** presented by *Professor Yoshiaki Nomura*, Osaka University, Japan and *Professor Huang Renting*, Tezukayama University, Japan, 26 February 2014

Seminar **The Rise of the International Commercial Court: What Is It and Will It Work?** presented by *Denise H. Wong*, School of Law, Singapore Management University, 24 February 2014

Seminar **Mandate of Financial Stability Board and Implementation of its Systemic Risk Standards** presented by *Professor Rolf H. Weber*, Chair, International Business Law, University of Zurich and AIIFL Academic Advisory Board Member, 14 February 2014

Seminar **The Use of Economics in Antitrust Litigation: Qihoo 360 v. Tencent QQ** presented by *Dr Sharon Pang*, Principal in the Competition Practice of Charles River Associates, 7 January 2014

EAIEL/AIIFL Seminar **Hong Kong in a World of Acronyms: East Asia and the WTO, TPP, TISA, IMF, FSB, G20 and QEIII** presented by *Jonathan T. Fried*, Chairman, WTO Dispute Settlement Body and Canada's Ambassador and Permanent Representative to the WTO, 18 December 2013

Seminar **Access to Finance and Microfinance Regulation in Cambodia** presented by *Serey Chea*, Director General, National Bank of Cambodia, 6 December 2013

Seminar **Last of the Tai-Pans: Improving Corporate Governance in Hong Kong** presented by *Dr Bryane Michael*, 29 November 2013

Seminar **Resolving Disputes with International Financial Institutions** presented by *Gerard Sanders*, Deputy General Counsel, European Bank for Reconstruction and Development (EBRD), 26 November 2013

AIIFL and CCPL Seminar **Preserving the ‘Analytic Framework’ of Law: The Challenge of Industry Rulemaking** presented by *Karen Lee*, University of New England, Armidale, Australia, 8 November 2013

AIIFL and CCPL Seminar **The Tangled Web We Weave: Complexity in the Australian Consumer Law** presented by *Professor Mark Lunney*, University of New England, Armidale, Australia, 6 November 2013

Seminar **The Governance of Corporate Acquisitions** presented by *Professor Marco Becht*, Professor of Finance and Goldschmidt Professor of Corporate Governance, Solvay Brussels School (ULB), CEPR and ECGI, 23 October 2013

Seminar **The Euro Area Debt Crisis and Its Implications for the Future of the European Union** presented by *Professor Fabian Amtenbrink*, Vice Dean and Professor, Erasmus University of Rotterdam, 21 October 2013

Seminar **The Role of Asset Management Law / AIFMD in Developing a Financial Centre** presented by *Professor Dr Dirk Zetzsche*, Propter Homines Chair for Banking and Securities Law, University of Liechtenstein, 8 October 2013

Seminar **The American Experience with Self-Regulation of Finance** presented by *Professor M. Todd Henderson*, University of Chicago Law School, 27 September 2013

Public Lecture **The Establishment of the Independent Insurance Authority** presented by *Annie Choi*, Commissioner of Insurance, HKSAR, 25 September 2013

Seminar **What Makes the Bonding Stick? A Natural Experiment Involving the U.S. Supreme Court and Cross-Listed Firms** presented by *Professor Amir N. Licht*, Radzyner School of Law, 10 July 2013

Seminar **Legal Transplanting: International Financial Institutions and Secured Transactions Law Reform in South Pacific Island Nations** presented by *Professor Gordon Walker* and *Dr Alma Pekmezovic*, La Trobe Law School, 13 June 2013

TLRP/AIIFL Seminar **Feeling the Heat: Will Hong Kong Succumb to International Pressure for Enhanced Transparency, Cooperation and Information Exchange on Taxation Matters?** presented by *Professor Adrian Sawyer*, University of Canterbury, 10 June 2013

Seminar **Remuneration and Riots: Rethinking Corporate Governance Reform in the Age of Entitlement** presented by *Professor Alan Dignam*, Professor of Corporate Law at Queen Mary, University of London, 31 May 2013

CUHK Centre for Financial Regulation and Economic Development and AIIFL Panel Discussion **Regulation of Cross-Border OTC Derivatives**, 28 May 2013

Seminar **What Effect Does Financial Law Have on the Wealth Management Industry (and Wealth) in Emerging Markets?** presented by *Dr Bryane Michael*, 22 May 2013

EAIIFL/AIIFL & LLM ADR Programme Public Lecture **Developing an International Arbitration Practice** presented by *Dr Robert Gaitskell*, QC, International Arbitrator and Mediator, Practising in Keating Chambers, London, 3 May 2013

TLRP/AIIFL Seminar **Designing a General Anti-avoidance Rule** presented by *Professor Judith Freedman*, CBE, Professor of Taxation Law and Director of Legal Research, Oxford University Centre for Business Taxation, 11 April 2013

Seminar **Why Some Firms Thrive While Others Fail: Governance and Management Lessons From the Crisis** presented by *Thomas H. Stanton*, Fellow, Center for Advanced Governmental Studies, Johns Hopkins University, 27 March 2013

HKU International Center for China Development Studies, Centre for China Financial Research and AIIFL Public Lectures **Capitalist Tools in Socialist Hands? China Mobile in the Global Financial Network** presented by *Dr Dariusz Wójcik*, School of Geography and the Environment, Oxford University, 15 March 2013

AIIFL and CUHK Centre for Financial Regulation and Economic Development Seminar **US Corporate Governance Update: Implementing the Dodd Frank Act, the Impact of the JOBS Act and the Regulation of Reverse Mergers** presented by *Professor Douglas M. Branson*, University of Pittsburgh School of Law, 6 March 2013

Seminar **Costs, Benefits and the Analysis of Financial Regulation** presented by *Professor Robert B. Ahdieh*, Vice Dean, Professor of Law and Director, Center on Federalism & Intersystemic Governance, Emory Law School, 25 January 2013

EAIEL/AIIFL Seminar **Dispute Concerning the Plain Packaging of Tobacco Products** presented by *Professor Andrew Mitchell*, Melbourne Law School, The University of Melbourne, 12 December 2012

TLRP/AIIFL Seminar **Harmful Tax Competition Between Member States of the EU** presented by *Dr Patricia Lampreave*, Lawyer and an Accredited Tax Professor in Spain, 8 November 2012

AIIFL and CUHK Centre for Financial Regulation and Economic Development Public Lecture **Contractual Approach to Discipline Self-dealing by Controlling Shareholders** presented by *María Gutiérrez*, Associate Professor, Department of Business Administration, Universidad Carlos III, 7 November 2012

Public Lectures **BRICS: Experiments with State Capitalism and Institutional Investment** presented by *Professor John Farrar*, Emeritus Professor of Law, Bond University, Professor of Corporate Governance, University of Auckland and AIIFL Academic Advisory Board Member, 25 October 2012

Seminar **Legitimacy of the G-20 as Global Financial Regulator** presented by *Professor Rolf H. Weber*, University of Zurich and Visiting Research Professor, Faculty of Law, HKU, 18 October 2012

Seminar **Australian Corporate Governance** presented by *Professor Michael Adams*, Dean, School of Law, University of Western Sydney and AIIFL Honorary Fellow, 12 October 2012

Seminar **The US-PRC UnionPay WTO Dispute: Bringing the Back Office Front & Center** presented by *Professor Jane K. Winn*, Charles I. Stone Professor of Law, University of Washington School of Law, 5 October 2012

TLRP/AIIFL Seminar **A GST/VAT Can Be Made to Work Well in a Small Jurisdiction with Time: The New Zealand Experience** presented by *Professor Adrian Sawyer*, University of Canterbury, 4 October 2012

Duke Law School and AIIFL Seminar **If There is Another Eurozone Sovereign Restructuring ...** presented by *Mitu Gulati*, Duke Law School, 9 July 2012

AIIFL and The Hong Kong Insurance Law Association Public Lecture **Recent Developments in Liability Insurance** presented by *Professor Robert Merkin*, Research Professor of Commercial Law, Southampton University and Consultant, Norton Rose, 20 June 2012

Seminar **Dodd-Frank Act: Extra-Territorial Impact on Swap Dealers** presented by *Jeffrey H. Chen*, Cadwalader, Wickersham & Taft LLP, 15 May 2012

Seminar **Dodd-Frank Act: Extra-Territorial Impact of the Volcker Rule** presented by *Jeffrey H. Chen*, Cadwalader, Wickersham & Taft LLP, 8 May 2012

Seminar **The Euro Zone Debt Crisis - Why Greece is (not) Argentina** presented by *Rainer Kulms*, Max Planck Institute of Comparative and International Private Law, Hamburg, Germany, 2 May 2012

International Centre for China Development Studies, Centre for China Financial Research and AIIFL Seminar **President Obama's Pivot to Asia-Pacific and US-China Relations** presented by *Professor Suisheng Zhao*, Director, Center for China-US Cooperation, Josef Korbel School of International Studies, University of Denver, 27 April 2012

EAIEL/AIIFL Public Lecture **The EU as a Leading Actor in International Trade and Investment** presented by *Stefan Amarasingha*, Head, Trade and Economic Section, European Union Office to Hong Kong and Macao, 25 April 2012

TLRP/AIIFL Seminar **The EU Commission's Proposal for a Financial Transaction Tax** presented by *John Vella*, Senior Research Fellow, Oxford University Centre for Business Taxation, 26 March 2012

Seminar **Harmonising Sales Law** presented by *Dr Camilla Baasch Andersen*, Senior Lecturer and Director of Leicester School of Law's LLM Programme, 20 March 2012

International Centre for China Development Studies, Centre for China Financial Research and AIIFL Public Lecture **The Global Stock Market: Issuers, Investors, and Intermediaries In an Uneven World** presented by *Dr Dariusz Wójcik*, School of Geography and the Environment, Oxford University, 5 March 2012

TLRP/AIIFL Seminar **Will the United States Government Ever Again Have a Functioning Budgetary System?** presented by *Professor Neil H. Buchanan*, The George Washington University Law School, 27 February 2012

Seminar **The Legal Framework for Bank-Customer Relationship in Israel** presented by *Professor Ruth Plato-Shinar*, Director, Center for Banking Law, Netanya Academic College, Israel, 23 February 2012

Public Lecture **The Bank's Fiduciary Duty – Towards a New Model** presented by *Professor Ruth Plato-Shinar*, Director, Center for Banking Law, Netanya Academic College, Israel, 22 February 2012

Seminar **Supervision of Financial Conglomerates - Are Measures Avoiding Systemic Risks and Achieving Financial Stability Available?** presented by *Professor Rolf H. Weber*, University of Zurich and Visiting Research Professor, Faculty of Law, HKU, 1 February 2012

CoSIG/AIIFL Seminar **High Frequency Trading** presented by *Professor Roberta S. Karmel*, Centennial Professor of Law, Brooklyn Law School, 15 December 2011

Panel Discussion **Recent Trends on Private Equity Fund Investments and Governance in China** presented by *Denis Tse*, Head of Private Investments, Lockheed Martin Investment Management Company; *Yong Kai Wong*, Associate General Counsel, APG Asset Management Asia and *Lynn Chan*, Counsel, O'Melveny and Myers, 14 December 2011

AIIFL and CLLC Training Centre Limited Seminar **Unleashing the Power of Risk & Crisis Management in New Business Development**, 7 December 2011

EAIEL/AIIFL Seminar **Can International Trade Negotiations at the WTO Ever Succeed?** presented by *Stuart Harbinson*, GBS, Senior Trade Policy Adviser, Sidley Austin LLP, Geneva and EAIEL Visiting Senior Fellow, 22 November 2011

Seminar **Transfer Pricing: Implications of the Recent SNF Judgment** presented by *Dr Michael Kobetsky*, Melbourne Law School, 9 November 2011

EAIEL/AIIFL Seminar **The Challenges Facing the Multilateral Trading System** presented by *Valentine Sendanyoye Rugwabiza*, Deputy Director-General, World Trade Organization, 4 November 2011

Seminar **Competition Law and the Regulation of Buyer Power** presented by *Ariel Ezrachi*, Director, Centre for Competition Law and Policy, University of Oxford, 25 October, 2011

TLRP/AIIFL Seminar **Hong Kong's Developing Double Tax Agreement (DTA) Regime: A Case Study of the HKSAR-New Zealand DTA** presented by *Professor Adrian Sawyer*, University of Canterbury, 1 September 2011

EAIEL/AIIFL Seminar **China's Ascent in Global Trade Governance: From Rule Taker to Rule Shaker, and Maybe Rule Maker?** presented by *Henry Gao*, Singapore Management University, 13 July 2011

CUHK Centre for Financial Regulation and Economic Development and AIIFL Seminar **Fraud Liability of Foreign Issuers under the US Securities Laws** presented by *Professor Donald Langervoort*, Professor of Law, Georgetown University Law Center, 23 May 2011

TLRP/AIIFL Seminar **U.S. Tax Enforcement and Offshore Assets: Recent Developments and Legal Options** presented by *Scott D. Michel* and *Lucy S. Lee*, Caplin & Drysdale, 28 April 2011

Seminar **Property Law and the City** presented by *Dr Douglas Harris*, Nathan T. Nemetz Chair in Legal History, Associate Dean Graduate Studies & Research, UBC, 26 April 2011

LLM in Arbitration and Dispute Resolution, AIIFL and CLLC Dispute Resolution Services Limited Panel Discussion **Effective Application of Dispute Resolution in the Financial Services Industry and Preparing for the Financial Dispute Resolution Centre**, 14 April 2011

TLRP/AIIFL Seminar **Tax Treaty Benefits for the Income of Collective Investment Vehicles** presented by *Dr Joachim Englisch*, Chair in Tax Law and Public Law, Tax Law Institute of Muenster University, 12 April 2011

AIIFL and McGill University Hong Kong / Mainland China Representative Office Seminar **China Aerospace Trade: Developments in U.S. and Canadian Export Control Law & Policy for Aviation and Space Technology Products & Services** presented by *Michael Mineiro*, Boeing Doctoral Fellow, Institute of Air & Space Law, McGill University in Montreal, Canada, 10 March 2011

EAIEL/AIIFL and CCPL Seminar **Sovereign Immunities and the Congo Case: International and Constitutional Law Considerations and their Implications for Hong Kong as a World Financial Center** presented by *Professor Sienho Yee*, Wuhan University Institute of International Law, 17 March 2011

EAIEL/AIIFL Public Lecture **What Now After the Great Recession? Perspectives on Global Trade and the Relevance of International Cooperation** presented by *Patrick Low*, Chief Economist,

World Trade Organization, Adjunct Professor of International Economics, Graduate Institute, Geneva, 24 February 2011

TLRP/AIIFL Seminar **The Impact of Fundamental Rights on Taxation: the European Perspective** presented by *Dr Werner C Haslechner*, Fellow in Law, London School of Economics and Political Science, 26 January 2011

TLRP/AIIFL Seminar **Green Border Tax Adjustments and their Consequences for Chinese Tax Policy and Trade** presented by *Bill Butcher*, Associate Head of School, Australian School of Taxation and Business Law, University of New South Wales, 20 January 2011

TLRP/AIIFL Seminar **Globalisation and the Future of Corporate – Shareholder Taxation** presented by *Professor C John Taylor*, School of Business Law and Taxation, Australian School of Business, The University of New South Wales, 15 December 2010

Seminar **The Growth of Australia's National Corporate Regulator (Australian Securities and Investments Commission): The New Puppet Master or Just a Gatekeeper?** presented by *Angus Young*, School of Accountancy, Queensland University of Technology, 8 December 2010

Seminar **Recent Developments in Securities Law** presented by *Laurence Li*, Barrister-at-law, Temple Chambers, 30 November 2010

EAIEL/AIIFL Seminar **The Future of the European Union after the Lisbon Treaty** presented by *Professor Marie-José Garot*, Professor of Law, IE Law School and Director, Center for European Studies, IE University, 22 November 2010

Seminar **Good Governance at ANZ in Asia Pacific, Europe & America** presented by *Sydney A. Nielsen*, ANZ's Director of Compliance for Asia Pacific, Europe and America, 19 November 2010

CoSIG/AIIFL Seminar **Disclosure of Price Sensitive Information: is there a Correspondence Between State Enforcement and Compliance?** presented by *Dr Michelle Welsh*, Senior Lecturer, Business Law and Taxation, Monash University, 17 November 2010

CoSIG/AIIFL Seminar **Common Law Rules for Regulating Derivatives** presented by *Professor Lynn A. Stout*, Paul Hastings Professor of Corporate and Securities Law, University of California, 15 October 2010

TLRP/AIIFL Seminar **Taxation Planning in an International Environment** presented by *Sarah Hinchliffe*, Teaching Fellow, The University of Melbourne, 21 September 2010

Seminar **Adapting the Full Protection and Security Standard of International Investment Law to the Digital Age** presented by *David Collins*, Senior Lecturer and Deputy Director Graduate Entry LLB, The City Law School, City University London, 7 September 2010

Seminar **The Limits of the WTO as a Promoter of Freedom of Speech: Reflections on a Potential Google Dispute** presented by *Dr Tomer Broude*, Senior Lecturer, Faculty of Law and Department of International Relations and Academic Director, Minerva Center for Human Rights, Hebrew University of Jerusalem, 21 July 2010

Duke University and AIIFL Seminar **Did We Tame the Beast? Some Thoughts on the US Financial Reforms** presented by *Professor Lawrence Baxter*, Professor of the Practice of Law, Duke University, 7 July 2010

Public Lecture **Reform of Insurance Law: Recent Developments in the United Kingdom** presented by *Professor Robert Merkin*, Research Professor of Commercial Law, Southampton University and Consultant, Norton Rose Group, 14 June 2010

AIIFL and Linklaters Seminar **OTC Derivatives Regulation in Hong Kong: The Way Forward and Challenges** presented by *Chin-Chong Liew*, Partner, Linklaters and *I-Ping Soong*, Senior Solicitor, Linklaters, 8 June 2010

TLRP/AIIFL Seminar **Taxation in Mainland China: Tax Law or Administrative Rulings – Which is “King”?** presented by *Wei Cui*, Associate Professor, China University of Political Science and Law, Beijing, 2 June 2010

Seminar **A Lack of Resolution** presented by *David Zaring*, Assistant Professor of Law, Wharton School of Business, University of Pennsylvania, 14 May 2010

CoSIG/AIIFL Seminar **Are Securities Class Actions in the U.S. “Supplemental” to SEC Enforcement? An Empirical Analysis** presented by *Professor Michael Klausner*, Nancy and Charles Munger Professor of Business and Professor of Law, Stanford Law School, 7 May 2010

TLRP/AIIFL and US Department of Justice Seminar **International Taxation Prosecutions** presented by *Frank P. Cihlar*, Senior Counsel for International Tax Matters, US Department of Justice and *Kevin M. Downing*, Senior Trial Attorney, US Department of Justice, 30 April 2010

AIIFL and LL.M. Degree Programme in Arbitration and Dispute Resolution Seminar **International Financial Dispute Resolution** presented by *Professor Norbert Horn*, Professor of Law & Director (emeritus), Banking Law Institute, University of Cologne and Paul Hastings Visiting Professor in Corporate & Financial Law, Faculty of Law, HKU, 15 April 2010

AIIFL and Asia-Pacific Securitisation Association Seminar **A Greek Test for the European Monetary Union** presented by *Professor Norbert Horn*, Professor of Law & Director (emeritus), Banking Law Institute, University of Cologne and Paul Hastings Visiting Professor in Corporate & Financial Law, Faculty of Law, HKU, 13 April 2010

AIIFL and Centre for Chinese Law Seminar **Recent Development of Investors’ Liability and Practical Solutions in China** presented by *Professor Li Youxing*, Zhejiang University School of Law, 29 March 2010

TLRP/AIIFL Seminar **The IRS and Americans With Foreign Accounts: Looming Tax and Enforcement Issues** presented by *H. David Rosenbloom*, James S. Eustice Visiting Professor of Taxation and Director, International Tax Program, New York University School of Law; Member, Caplin & Drysdale, Washington, DC and *Scott D. Michel*, President & Managing Partner, Caplin & Drysdale, Washington, DC, 22 March 2010

TLRP/AIIFL Seminar **Hong Kong’s Tax History and Some of the Questions It Raises** presented by *Dr Michael Littlewood*, University of Auckland Law School, 25 February 2010

TLRP/AIIFL Seminar **The Taxation of Offshore Residents from the USA, UK and Australia – A Comparative Analysis** presented by *Sarah Hinchliffe*, Teaching Fellow, The University of Melbourne, 2 February 2010

Law and Technology Centre and AIIFL Seminar **Mobile Financial Services** presented by *Professor Rolf H. Weber*, University of Zurich and Visiting Professor, Faculty of Law, HKU, 25 January 2010

AIIFL and CEDARS Seminar **Future of Microfinance: Leveraging the Platform** presented by *Alex Counts*, President and CEO, Grameen Foundation, 21 January 2010

CoSIG/AIIFL Seminar **Control, Conflict, and Corporate Rescue** presented by *Dr Riz Mokal*, Senior Counsel, World Bank, 9 December 2009

Seminar **Regulating the Credit Rating Agencies: Governing the Financial Oracle** presented by *Michael Kyriacou Peters*, School of Business Law and Taxation, University of New South Wales, 8 December 2009

TLRP/AIIFL Seminar **The Common Law Concept of ‘Charity’** presented by *Fiona Martin*, Senior Lecturer, Australian School of Taxation (Atax), University of New South Wales, 7 December 2009

GE Capital and AIIFL Seminar **A Primer on Leveraged Buyouts and the Role of Corporate Counsel** presented by *Yvonne Pang*, Senior Counsel, GE Capital Asia Pacific, 20 November 2009

Seminar **Better Than Fuller: A Two Interest Model of Remedies for Breach of Contract** presented by *Professor David Campbell*, Durham Law School, Durham University, UK, 5 November 2009

EAIEL/AIIFL Seminar **The European Union and the Global Financial Crisis: Responses and Global Role** presented by *Professor Bernhard Schloh*, University of Hamburg School of Law, 4 November 2009

AIIFL and CCPL Seminar **After Kyoto: International Welfarism and the Impossibility of Global Carbon Trading** presented by *Professor David Campbell*, Durham Law School, Durham University, UK, 3 November 2009

EAIEL/AIIFL Seminar **European Union Jurisdiction over Foreign Investment and the Impact on Investor State Arbitration** presented by *Professor Armand de Mestral, C.M.*, Jean Monnet Professor of Law, McGill University and Visiting Professor of Law, Graduate School of International Cooperation Studies, Kobe University, 29 October 2009

Seminar **Current Issues in Global Financial Regulation**, 5 October 2009

GE Capital and AIIFL Seminar **Demands of a Multi-jurisdictional Practice on a Corporate Counsel’s Skill Set** presented by *Sabrina Maguire*, Regional Counsel, Capital Markets, GE Capital Asia Pacific, 25 September 2009

Staff Seminar **Teaching Property Law in the United States** presented by *Professor James E. Krier*, Earl Warren DeLano Professor of Law, University of Michigan, 4 September 2009

Public Lecture **The Evolution of Property Rights** presented by *Professor James E. Krier*, Earl Warren DeLano Professor of Law, University of Michigan, 3 September 2009

Public Lecture **Reinsurance** presented by *Professor Robert Merkin*, Law School, University of Southampton and Consultant, Barlow Lyde & Gilbert LLP, UK, 16 June 2009

Seminar **The U.S. Economic Crisis: Causes, Myths and Solutions** presented by *Professor Christian A. Johnson*, University of Utah College of Law, US, 4 May 2009

GE Capital and AIIFL Seminar **Corporate Governance – Compliance Culture at GE** presented by *Benny Lee*, Senior Counsel, GE Capital South East Asia & HK, 27 March 2009

Seminar **The Greatest Uncontrolled Power within the Global Financial System** presented by *Dr Ulrich G. Schroeter*, Albert-Ludwigs-University Freiburg, Germany, 20 March 2009

Seminar **Competition and Government: How Much Regulation?** presented by *Deborah Healey*, UNSW Faculty of Law, Australia, 12 March 2009

Seminar **Whistleblowing as Legal Duty and Right** presented by *Professor Peter Bowal*, Professor of Law, Haskayne School of Business, University of Calgary, Canada, 9 January 2009

Seminar **Tax in the Virtual World** presented by *Dr Michael Walpole*, Associate Professor and Associate Dean, ATAX, Faculty of Law, University of New South Wales, Australia, 18 December 2008

Seminar **Credit Default Swaps - Why Were They So Popular and What Was Their Part in the Financial Crisis?** presented by *Graham Roberts*, Professorial Fellow, Queen Mary, University of London, UK, 28 November 2008

Seminar **Antitrust Law in China and Hong Kong – A Critical Perspective** presented by *Professor Mark Furse*, University of Glasgow, UK, 26 November 2008

Seminar **The Law of Monopoly Control in the EC and UK** presented by *Professor Mark Furse*, University of Glasgow, UK, 6 November 2008

Seminar **The Global Credit Crisis: Causes and Consequences** presented by *Dr Douglas W. Arner*, AIIFL Director, HKU, 24 October 2008

Seminar **The Interpretation of Article 56 of the European Community Treaty** presented by *Borbala Kolozs*, Assistant Professor, Eotvos Lorand University, Budapest, Hungary, 12 September 2008

Seminar **Risk Taking, the Limits of Law and Regulatory Failure - The Case of Northern Rock** presented by *Professor Roman Tomasic*, Chair in Company Law, Durham Law School, US, 8 September 2008

AIIFL and Duke-HKU Asia-America Institute in Transnational Law Seminar **Shareholderism: Board Members' Values and the Shareholder-Stakeholder Dilemma** presented by *Professor Amir Licht*, Dean, Radzyner School of Law, Israel, 25 July 2008

AIIFL Distinguished Public Lectures

The 15th AIIFL Distinguished Public Lecture

Risk, New “Modes of Information,” and the Informational Complexities of Asset-Backed Securities, Banks, and Corporations presented by *Professor Henry T.C. Hu*, Allan Shivers Chair in the Law of Banking and Finance, University of Texas Law School, 13 March 2017

The 14th AIIFL Distinguished Public Lecture

Securitisation and Post-Crisis Financial Regulation presented by *Professor Steven L. Schwarcz*, Stanley A. Star Professor of Law & Business, Duke University School of Law, 2 June 2016

The 13th AIIFL Distinguished Public Lecture

Unfair Terms, Suretyships and EU Harmonisation: A Crucible of Europeanised Private Law? presented by *Professor James Devenney*, Exeter Law School, 1 December 2014

The 12th AIIFL Distinguished Public Lecture

Eurozone Banking Union and the EU Single Market - Differentiated Integration or Steps Towards Disintegration? presented by *Professor Eilís Ferran*, University of Cambridge, 17 April 2013

The 11th AIIFL Distinguished Public Lecture

For Diversity in the International Regulation of Financial Institutions: Rethinking the Basel Architecture presented by *Professor Roberta Romano*, Sterling Professor of Law and Director, Yale Law School Center for the Study of Corporate Law, 4 June 2012.

The 10th AIIFL Distinguished Public Lecture

Globalisation, the Global Financial Crisis, and the Reinvention of the State presented by *Professor John Farrar*, Emeritus Professor of Law, Bond University, 17 November 2011.

AIIFL-Companies Registry Corporate Law and Governance Distinguished Lecture Series

Preserving the Corporate Superego in a Time of Activism presented by *Professor John C. Coffee Jr.*, Adolf A. Berle Professor of Law, Columbia Law School, 14 December 2016

Mechanisms for Corporate Decision Making presented by *Nobel Laureate Professor Eric Maskin*, Adams University Professor, Harvard University, 22 February 2016

Compliance Lecture Series

Risk-based Approach (from a Regulator's Perspective) presented by *Meena Datwani, JP*, Executive Director (Enforcement & AML), Hong Kong Monetary Authority, 19 April 2017

Compliance as a Process presented by *Stuart Somer*, Director, Complyport (HK) Limited, 5 April 2017

From Shrinkwrap to FinApp: Dissecting the Bundling in FinTech presented by *Gary Tiu*, Legal Counsel for a Family Office, and former General Counsel of Yunfeng Financial Group, 15 March 2017

How to Handle Regulatory Investigations presented by *Jill Wong*, Partner, Howse Williams Bowers, 1 March 2017

Virtue in the Jaws of Temptation: Responsibility for Conduct in Licensed Corporations presented by *Greg Heaton*, Senior Director – Licensing, Intermediaries, Securities and Futures Commission, 15 February 2017

Regulatory Expectations, the Idea of the Fiduciary, and Other People's Money presented by *Alice Law*, Chief Operating Officer and Executive Director, Mandatory Provident Fund Schemes Authority, 7 December 2016

Why Behaving Ethically is so Hard presented by *Dr Tony Tan*, CFA, CFA Institute, 17 November 2016

The Evolution of Compliance: From Afterthought to Corner Office presented by *Benedicte Nolens*, Senior Director and Head of Risk & Strategy, Securities and Futures Commission, 2 November 2016

Law and Economics Workshop Series

Federal Judge Ideology: A New Measure of Ex-Ante Litigation Risk presented by *Professor Kai Wai Hui*, Faculty of Business & Economics, HKU, 21 March 2018

An Empirical Analysis of Bargaining Power in Licensing Contract Terms presented by *Dr Alan Kwan*, Faculty of Business & Economics, HKU and **So Sue Me! Re-discovery of Patent Value through Litigation** presented by *Dr Po-Hsuan Hsu*, Faculty of Business & Economics, HKU, 9 February 2018

The Whack-A-Mole Game: Tobin Tax and Trading Frenzy presented by *Dr Wenxi Jiang*, Assistant Professor, Business School, CUHK, 25 January 2018

What Explains Corporate Governance Regimes in China? presented by *Dr Yu-Hsin Lin*, School of Law, City University of Hong Kong, 24 November 2017

The Impact of Xi's Anti-corruption Campaign on Politically Connected Listed Companies presented by *Dr Bei Qin*, Faculty of Business & Economics, HKU, 10 November 2017

GLOBAL NETWORK

Africa and the Middle East

- Center for Banking Law, Netanya Academic College (Israel)
- Mandela Institute, School of Law, University of the Witwatersrand (South Africa)
- Radziner School of Law, Interdisciplinary Centre, Herzliya (Israel)

Australia

- Centre for Corporate Law and Securities Regulation, University of Melbourne
- Faculty of Law, University of New South Wales

China

- China University of Politics and Law
- Centre for Financial Law and Policy Research, Shanghai Jiao Tong University Ko Guan School of Law
- Fudan University
- Institute of European Studies (Macau)
- Peking University
- Shanghai University of Finance and Economics
- National Chengchi University (Taipei)

Europe

- Banking Law Institute, University of Cologne (Germany)
- British Institute of International and Comparative Law (United Kingdom)
- Centre for Commercial Law, University College London (United Kingdom)
- Centre for Commercial Law Studies, Queen Mary, University of London (United Kingdom)
- Global Forum for International Economic Law and Development (United Kingdom)
- Institute of Law, Ethics and Patrimony, University of Paris XI (France)
- Postgraduate Programme in International Business Law, University of Zurich (Switzerland)
- Research Center of Financial Market Law, University of Zurich (Switzerland)

Hong Kong

- Asia-Pacific Structured Finance Association
- Centre for Accounting Disclosure & Corporate Governance, School of Accountancy, The Chinese University of Hong Kong
- Centre for China Financial Research, Faculty of Business and Economics, University of Hong Kong
- Centre for Chinese Law, Faculty of Law, University of Hong Kong
- Centre for Comparative and Public Law, Faculty of Law, University of Hong Kong
- Centre for Financial Regulation and Economic Development, Faculty of Law, The Chinese University of Hong Kong
- CLLC Training Centre Limited
- Hong Kong Securities and Investment Institute
- Hong Kong Institute of Certified Public Accountants
- Hong Kong Institute of Economics and Business Strategy, Faculty of Business and Economics, University of Hong Kong
- Institute for China and Global Development, University of Hong Kong
- Private Wealth Management Association

North America

- Duke University Global Capital Markets Center
- International Law Institute
- Milken Institute
- Pepperdine University School of Law
- SMU Institute of International Banking and Finance
- William S. Richardson School of Law, University of Hawaii at Manoa

International Associations

- Asian Competition Forum
- Inter-Pacific Bar Association
- International Law Association (London and Hong Kong)

RESEARCH

Competition / Antitrust

Convenor: **Thomas Cheng**

Competition law is a relatively new area in Hong Kong. For most of the century-and-a-half history of this bastion of free market economics, the city has done without a competition law. After protracted efforts to lobby the government to introduce competition law and strenuous efforts to hold off resistance from the business community, the Competition Ordinance was adopted on 14 June 2012.

The Faculty of Law has been at the forefront in the development of a competition law expertise in Hong Kong. The Faculty began to acquire competence in the area back in 2006, six years before the Ordinance was passed. The Faculty hired its second academic staff member in the area in 2012, and the third in 2017, and now possesses the broadest competence in the area among the universities in Hong Kong. The Faculty also offers the most number of courses in the area among the universities in Hong Kong. At the moment, it offers two courses on U.S. antitrust law, two courses on EU competition law, one course on merger review under U.S. and EU law, one course on PRC Anti-Monopoly Law, and one course on the interface between intellectual property and competition law. In addition to the three full-time academic staff, Thomas Cheng (Associate Professor), Angela Zhang (Associate Professor), and Kelvin Kwok (Assistant Professor), the Faculty regularly invites visitors from abroad to help teach these courses. Visitors have included Professor Ioannis Lianos from University College London, Professor Andreas Stephan from the University of East Anglia, Professor Andreas Kellerhals from the University of Zurich, and Mr Ken Logan and Mr. Joseph Tringali, both former partners at the New York law firm Simpson Thacher & Bartlett.

Apart from offering the most comprehensive curriculum on competition law in Hong Kong, the Faculty, through AIIFL, has been active in research in the field. Members of AIIFL have been awarded two Public Policy Research (PPR) grants and four General Research Fund (GRF) grant in the area of competition law. Under the “Competition and Environmental Sustainability in Hong Kong’s Energy Market” PPR grant, the investigators examined how the introduction of competition in the electricity market in Hong Kong will affect its environmental performance and concluded that the impact could be adverse. There is thus a need for the government to adopt legislation to ensure the environmental performance of the electricity companies. Under the “Conglomerates and Competition Law Enforcement in Hong Kong” PPR grant, the investigator looks at how the newly adopted Competition Ordinance should address the competition problems caused by the domination of the local economy by conglomerates. Under the “Competition Law in Developing Countries” GRF grant, the investigator studies whether mainstream competition law principles need adaptations to take into account the specific circumstances of developing countries. Kelvin Kwok (as PI) and Thomas Cheng (as Co-I) have been awarded a GRF grant to embark on the project titled “Buyer Power under Competition Law: A Theoretical Examination and A Case Study of Hong Kong” in 2017 for three years. Thomas Cheng (as PI) and Angela Zhang (as PI) have been awarded two GRF grants to study competition law in China.

AIIFL assisted the Faculty to organise the Competition Law Seminars:

- Freshfields Bruckhaus Deringer and the HKU Faculty of Law organised the Competition Law Seminar on “Atypical Cartels - Pricing Signaling, Hub and Spoke, Algorithmic Collusion etc...” presented by *Emeritus Professor Richard Whish* on 22 May 2017
- The HKU-Norton Rose Fulbright Lecture Series in Competition Law: The Role of Judges in the Development of Competition Law presented by *Judge Christopher Vajda*, Court of Justice of the European Union on 11 April 2017

Corporate Law and Corporate Governance

Convenor: **Professor Say Goo**

Corporate Law and Corporate Governance has been one of the founding areas of research since the establishment of AIIFL. There have been significant publications in the area from AIIFL Fellows in the form of books, journal articles, Halsbury's Laws of Hong Kong and other government and professional reports. Fellows were also involved in the Rewrite of the Companies Ordinance and the reform and development of Corporate Law and Corporate Governance in Hong Kong, and served on the Standing Committee on Company Law Reform, as well as advisory board of other Centres and editorial board or committee of international journals.

AIIFL wishes to increase awareness of Corporate Law and Corporate Governance and to promote AIIFL as an international research centre of Corporate Law and Corporate Governance. In that context, AIIFL and the Companies Registry established an "**AIIFL-Companies Registry Corporate Law and Governance Distinguished Lecture Series**" in 2015.

East Asian International Economic Law and Policy (EAIEL) Programme

Convenor: **Professor Douglas W. Arner**

The East Asian International Economic Law and Policy (EAIEL) Programme was founded in 2003 in order to create a world-leading programme, situated in Hong Kong, on international economic law and policy; particularly with respect to developments in China and the East Asian region. China's accession in 2001 to the World Trade Organization was a major event and provided the impetus for its establishment.

In its initial years under the leadership of Donald Lewis, Mattheo Bushehri and Henry Gao, the EAIEL Programme focused on two principal areas of activity: (i) academic research on WTO and international economic law and policy concerning China and East Asia; and (ii) training programmes on trade law and policy, principally for governments of the Asia Pacific region under the auspices of the WTO's Regional Trade Policy Programme in the Asia-Pacific.

In time, EAIEL's conferences in Hong Kong have produced major works on current developments. They include: Henry Gao & Donald Lewis (eds.), *China's Participation in the WTO* (Cameron May, 2005); Ross Buckley, Richard Hu and Douglas Arner (eds.), *East Asian Integration: Law, Trade and Finance* (Edward Elgar, 2011); C.L. Lim, Deborah Elms & Patrick Low (eds.), *The Trans-Pacific Partnership: A Quest for the Twenty-First Century Trade Agreement* (Cambridge University Press, 2012); and C.L. Lim & Bryan Mercurio (eds.), *International Economic Law After the Global Crisis: A Tale of Fragmented Disciplines* (Cambridge University Press, 2015).

Today, EAIEL has a track record not only in scholarship and capacity building but also in its outreach and knowledge-exchange programmes as it seeks to bring greater understanding of contemporary issues not only to local professionals and policy-makers, but also to the broader Hong Kong public, visiting scholars and high officials travelling through Hong Kong, as well as visiting students from outside Hong Kong. In this regard, EAIEL hosts lunch and evening talks on the HKU campus, hosts visiting delegations, and engages the global press on developments in China, Hong Kong and the region.

Conscious of the rapid pace of developments, EAIEL's talks and research initiatives and the work of its fellows in Hong Kong and abroad continue to evolve; not least in light of China's and Hong Kong's roles in the international economic field. EAIEL's Hong Kong-based scholars and foreign fellows currently work on a variety of subjects. These range from research on ASEAN rules of origin and the ASEAN Economic Community to Hong Kong's engagement of ASEAN, developments in China's competition law regime, the emergence of mega-regional economic treaties in the Asia-

Pacific, China's investment treaty negotiations, the growth in East Asian arbitration work and regional and global financial arrangements. In addition to its collaboration with other HKU Law Faculty Centres and Programmes, EAIEL has an interdisciplinary mandate and welcomes collaboration with other HKU faculties, centres and institutes.

Financial Law and Regulation

Convenor: **Professor Douglas W. Arner**

Financial law and regulation has been one of AIIFL's core research areas since its establishment and remains one of its flagship areas of activity. Given Hong Kong's position as one of the leading international financial centres not only in the Asia-Pacific region but globally, financial law and regulation are central areas of interest to researchers, professionals, policymakers and students, reflected not only in the activities of AIIFL and its fellows as well as in the Faculty's leading LLM in Corporate and Financial Law Programme and LLM in Compliance and Regulation.

Since its establishment, AIIFL has striven to maintain its research activities at the cutting edge of issues relating to financial law and regulation in Hong Kong, China, the region and globally. These have taken the form of a number of major research projects, significant publications, an ever-increasing list of conferences and visitors as well as policy advice and training.

Major areas of research include:

- Enhancing Hong Kong's Future as a Leading International Financial Centre
- FinTech and RegTech
- Debt capital markets development
- Financial liberalisation and restructuring in China
- International financial services regulation
- Financial crises and financial institution insolvency

Looking forward, AIIFL will continue to focus on major issues with respect to global financial markets and their regulation as they change and evolve and continue to host major events and visitors as well as supporting policy reform efforts in Hong Kong, China, the region and around the world.

Insolvency and Restructuring

Convenor: **Dr Emily Lee**

In support of development of this area, in 2006-2007, HKU awarded AIIFL and the Faculty of Law a Research Assistant Professorship in Insolvency and Restructuring.

Insolvency Training and Manuals Project – Philip Smart and Charles D Booth designed the Diploma Course in Insolvency for the Hong Kong Society of Accountants (now the Hong Kong Institute of Certified Public Accountants). They also served as Co-directors for the course. Roughly 230 students attended the course.

Publications

Charles D Booth, ELG Tyler, Ludwig Ng and Terry Kan, **The Hong Kong Corporate Insolvency Manual** (fourth edition, 2018) by LexisNexis

Charles D Booth, Stephen Briscoe and ELG Tyler, **Hong Kong Corporate Insolvency Manual** (third edition, 2015), (Published in association with the Hong Kong Institute of Certified Public Accountants) by LexisNexis

Charles D Booth and ELG Tyler, **Hong Kong Personal Insolvency Manual** (second edition, 2010), published by Hong Kong Institute of Certified Public Accountants

Essays in Memory of Professor Philip St. John Smart, 1961-2008

Hong Kong Law Journal Volume 39, Part 1 of 2009

Introduction

- “A New Stage of Regional Judicial Assistance in Civil and Commercial Matters: Implementation of the Mainland Judgments Ordinance and Certain Issues Beyond”, Xian Chu Zhang
- “Quistclose and Romalpa: Ambivalence and Contradiction”, Lusina Ho and Philip Smart
- “Investigation of Insolvent Companies in Hong Kong: A Corporate Governance Crisis”, Philip Smart, Charles D. Booth & Stephen Briscoe
- “Remuneration of Provisional Liquidators & Liquidators under the Official Receiver’s Contracting-Out Schemes”, Emily Lee
- “Building a Framework to Address Failure of Complex Global Financial Institutions”, Douglas Arner and Joseph J. Norton
- “Recent Developments in the Shareholder’s Derivative Action: Hong Kong and Australian Perspectives”, Lee Aitken

AIIFL assisted the Faculty of Law to organise the **Professor Philip St. John Smart Memorial Lecture**:

17 November 2016

Understanding Cross-Border Insolvency in the Hong Kong Context

The Hon Mr Justice Jonathan Harris, Court of First Instance of the High Court, HKSAR

21 November 2014

Lehman Brothers’ Cross-border Insolvency

Edward Middleton, Partner and Head of Restructuring Services, Asia-Pacific, KPMG

9 November 2012

Professor Philip St. John Smart Inaugural Memorial Lecture

Stephen Briscoe, Briscoe Wong Ferrier

Insurance Law

Convenor: **Gary Meggitt**

AIIFL has been assisting the Faculty of Law to promote the Insurance Law course to the local practitioners since 2008. The Insurance Law course is one of the subjects in the LLM in Corporate and Financial Law Programme covering the most important aspects of insurance law, with particular emphasis on the international practice of insurance law. Professor Robert Merkin, QC, Lloyd’s Professor of Commercial Law at the University of Exeter and Associate Professor Gary Meggitt, AIIFL Director, were co-teaching the course.

One-Belt-One-Road

Convenor: **Professor Say Goo**

Banking and Financial Markets Workshop: Taiwan Financial Sectors and Belt and Road Initiative, 9-10 June 2018

第五屆財產法論壇在河南大學法學院舉行 (The 5th Cross-Strait Financial Law Forum held at Henan University, China), 22 April 2017

第四屆兩岸四地金融法論壇：一帶一路對金融法帶來的挑戰與機遇 (The 4th Cross Strait Financial Law Forum: One Belt One Road and the Opportunities and Challenges for Financial Law), 16-17 April 2016

Real Estate Law and Finance

Convenor: **Malcolm Merry**

Land Law Conversations - an *occasional series of discussions on topics concerning land in Hong Kong*

Is the Small House Policy Constitutional? presented by *Professor Johannes Chan, SC (Hon)*, HKU and *Associate Professor Danny Gittings*, HKU SPACE, 25 April 2018

Energy Upgrades & Governance of Multi-owned Buildings presented by *Professor Susan Bright*, University of Oxford, 21 September 2017

Why are Hong Kong's Land Values so Persistently High? presented by *Professor K.W. Chau*, HKU and *Oriol Caudevilla*, AIIFL Visiting Fellow, 26 May 2017

Waste Mountains and Controls over Use of Land presented by *Adrian But*, Barrister-at-law, Sir Oswald Cheung's Chambers and *Christine Loh*, Under-Secretary for the Environment, took part at the Conversation, 2 December 2016

The Family Home and the Common Intention Constructive Trust presented by *Professor Michael Lower*, CUHK, 29 April 2016

Reforming Customary Law applicable to Land presented by *Professor Michael Palmer*, 15 January 2016

Illegal Structures presented by *Professor Henry Litton*, Honorary Professor, HKU, 20 November 2015

Reforming Adverse Possession presented by *Edward Chan SC* and *Professor Michael Wilkinson*, HKU, 15 January 2015

Title Registration, England and Hong Kong: Recent Developments presented by *Professor Martin Dixon*, University of Cambridge and *Dora Chan*, HKU, 7 October 2014

The Trouble with Land Law - Teaching Real Property in the 21st Century presented by *Malcolm Merry*, HKU, 28 May 2014

Does Hong Kong Need a Law of Adverse Possession? presented by *Charles Harpum*, Downing College Cambridge, *Professor Michael Wilkinson*, HKU and *Professor Say Goo*, HKU, 23 January 2014

Small House Policy, Custom and Article 40 of the Basic Law presented by *Professor Johannes Chan, SC (Hon)*, HKU, *Professor Say Goo*, HKU, *Junius Ho* and *Malcolm Merry*, HKU, 15 January 2014

Taxation Law Research Programme (TLRP)

Convenor: **Professor Richard Cullen**

The Taxation Law Research Programme (TLRP) is one of the research programmes within AIIFL established in 2007. The Foundation Members of the TLRP were Professor Andrew Halkyard, Associate Professor Wilson Chow and Professor Richard Cullen. Professor Cullen serves as TLRP Convenor.

TLRP Objectives

- To foster research, including comparative research, on Hong Kong, Mainland, Asian and International Taxation Law and related policy areas.
- To explore the Public Law as well as the Private Law dimensions of Tax Policy and operating taxation systems.
- To establish research collaboration links with other taxation research institutes internationally (International Tax Research Network [sponsored by the OECD] and the School of Taxation and Business Law at UNSW, being examples) and with Universities with which HKU has strong collaborative links in the taxation field including, the University of Western Australia, The National University of Singapore and Kyushu University in Japan.
- To engage in collaborative research projects with staff in other institutions in Hong Kong (and abroad) and with research-minded persons in the private sector.
- To seek funding, as appropriate, through research grants and other sources, to undertake relevant Tax Law research projects.
- To provide public sector, capacity building consultancy services on Tax Policy development and tax system operation.
- To conduct lectures / workshops / seminars / conferences focusing on Tax Law research.
- To run major Tax Law Conferences in Hong Kong on a regular basis (a number of these have been held over the last decade - some of which gave rise to major publications).

TLRP Publications

The **TLRP Tax Comments** (TTC) was introduced in early 2016 to provide an online publication platform for stimulating Tax Law & Policy articles and notes. TTC publications are added to on an *ad hoc* basis, as suitable articles or notes come to hand. (TTC is not designed to operate as a regular journal, with regular issues.) Article and notes are reviewed by staff within the TLRP.

- Tax Comments No 6 “Hong Kong’s Involvement with International Tax Reform: What’s the ‘BEPS’?” by Professor Adrian Sawyer
- Tax Comments No 5 “Question & Answer Session on: Hong Kong’s Comprehensive Double Tax Agreement (CDTA) Network” by Associate Professor Wilson Chow
- Tax Comments No 4 “An Update on Hong Kong’s Exchange of Information Developments and Engaging with BEPS” by Professor Adrian Sawyer
- Tax Comment No 3 “Real Estate Price Inflation: Lessons from Hong Kong” by Richard Cullen
- Tax Comment No 2 “Land Revenue and the Chinese Dream” by Richard Cullen
- Tax Comment No 1 “The Making of Tax Law in China – Does It Comply with Rule of Law Principles” by Agnes Lee

TLRP Tax Comments (TTC) – Working paper Series (WPS)

As our experience with TTC has grown, we have realized that there is a need, within the TTC structure, to create a TTC-WPS. The objective of the TTC-WPS is to allow for the publication of papers which, although completed in draft form, are undergoing further revision and development. In

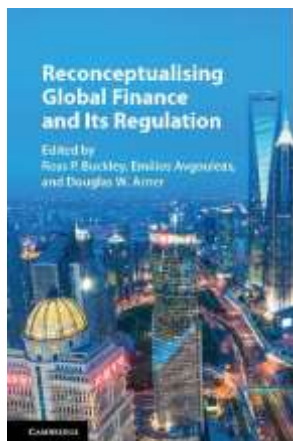
this way, such papers are made available for reading within the TLRP network; and made available so that readers within the TLRP network can provide feedback to TTC-WPS authors.

The first paper offered in the TTC-WPS is “DTA Pensions Clause Fails Preservation, Portability and Long Duration Capital Formation Tests” by Ross Smith

BOOKS

Reconceptualising Global Finance and its Regulation (2017)

Edited by Ross Buckley, Emiliós Avgouleas and Douglas W. Arner



The book arose from a conference of the same title held in December 2013 at AIIFL and co-hosted by the University of Edinburgh and the University of New South Wales.

The book brings together contributions from leading scholars and practitioners around the world, addressing key theme of the global financial system and its regulation. The current global financial system may not withstand the next global financial crisis. In order to promote the resilience and stability of our global financial system against future shocks and crises, a fundamental reconceptualisation of financial regulation is necessary. This reconceptualisation must begin with a deep understanding of how today's financial markets, regulatory initiatives and laws operate and interact at the global level. This book undertakes a comprehensive analysis of such diverse areas as regulation of financial stability, modes of supply of financial services, market infrastructure, fractional reserve banking, modes of production of global regulatory standards and of the pressing need to reform financial sector ethics and culture. Based on this analysis, *Reconceptualising Global Finance and its Regulation* proposes realistic reform initiatives, which will be of primary interest to regulatory and banking legal practitioners, policy makers, scholars, research students and think tanks.

Financial Markets in Hong Kong - Second Edition (2016)

Edited by Douglas W. Arner, Berry Hsu, Say H Goo, Syren Johnstone and Paul Lejot



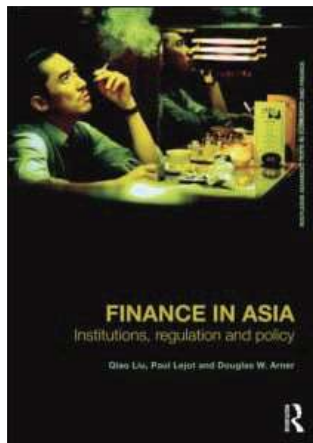
Since the publication of the first edition in 2006, financial regulation around the world has changed dramatically as a result of the 2008 global financial crisis. As one of the world's leading financial centres, international regulatory reforms have had a significant impact on the legal and regulatory system in Hong Kong. This new second edition provides a comprehensive and authoritative single-volume guide to the main areas of financial regulation and financial law in Hong Kong.

New to this edition:

- (1) Fully revised, updated, and expanded to include all new developments in regulation and case law from 2006-2015, as well as the implications of these changes to future market development.
- (2) Comprehensive coverage of post-crisis reforms and their implementation in Hong Kong, including Basel III, the new regulatory system for OTC derivatives, regulation of credit rating agencies, the deposit insurance and the proposed resolution framework, the incoming regulatory framework for insurance, and major developments in securities regulation, company law and listing rules.

Finance in Asia - Institutions, Regulation and Policy (2013)

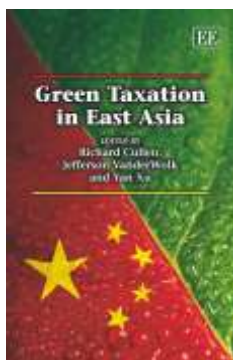
Qiao Liu, Paul Lejot and Douglas W. Arner



Asia's demand for second-generation financial institutions and markets needs to be met in order for the region's further development to be sustained. This book provides a compelling, fact-based assessment of current practices and regulations in Asia's financial institutions and markets and carefully documents the exciting opportunities and challenges that lie ahead in the region's financial systems. This book differs in design from typical treatments of financial institutions and markets because its focus is on Asia rather than using the US model (in terms of market configurations or products) as a benchmark, and it takes a contemporary and forward-looking view of financial markets. Examples of practice from Asia are used to illustrate major accepted themes in finance and financial regulation. To the extent that Asia's main economies share characteristics that are distinct, for example, in the relationship between government and the banking sector, or in aspects of corporate governance, the book will discuss the consequences for market operation and intermediation. The book's carefully structured facts and rigorously argued analysis carry important implications both for students in business and law and for professionals new to financial markets in Asia. It will change the way that Asian financial markets and institutions is taught in universities as well as provide a valuable resource for professionals working in finance in Asia.

Green Taxation in East Asia (2011)

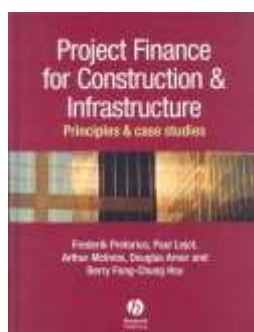
Edited by Richard Cullen, Jefferson VanderWolk and Yan Xu



The core concern of this book is the potential use of taxation and related measures to foster climate-helpful, large-scale change within East Asia. The contributing authors examine key issues such as how Greater China, for instance, confronts severe environmental problems which are a direct product of several decades of remarkable economic growth. The detailed analysis in this book identifies a range of green taxation guidelines for East Asia as it seeks to drive down striking levels of environmental degradation – and tackle the climate change challenge.

Project Finance for Construction and Infrastructure: Principles and Case Studies (2008)

Frederik Pretorius, Paul Lejot, Arthur McInnes, Douglas W. Arner and Berry Hsu



This is a self-contained text on the logic and institutions of project finance, supplemented by a series of project finance case studies illustrating applications in different economic environments, across different jurisdictions and at different stages of development. It introduces an analytical framework drawing on applied institutional economics that includes and concentrates primarily on an analysis of the institutional logic behind generic project finance arrangements. The application of the institutional framework is demonstrated with project cases from Hong Kong, Thailand, India, Europe and Azerbaijan – each at different stages of development. While each project case has a general theme and highlights aspects of interest to built environment professionals, it is primarily used to illustrate one or more specific PF/PFI principle.

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Dr Shahla Ali

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RESEARCH PROJECTS

Seed Funding for Strategic Interdisciplinary Research Scheme “Designing the Legal and Regulatory Framework for a New Financial System: RegTech and Regulation of FinTech” (April 2018 – March 2021)

Principal Investigator: Professor Douglas W. Arner
Project Amount: HK\$800,000

Technology and finance have had a very long relationship, with both responding to developments in the other over an extended evolutionary process. This evolution can be characterised over the past 150 years into four major eras. In the last 10 years, the pace of change in both finance and technology has moved more rapidly than ever before, resulting in the emergence of a new term: FinTech (“financial technology”). This new era of FinTech is marked both by speed of change and by the range of new entrants into the financial sector, including startups as well as IT and ecommerce firms (“TechFins”) all competing with traditional financial institutions. In this context, there are both new opportunities for innovation and growth as well as new challenges, particularly from the standpoint of regulation and regulators as well as for the future human capital needs of the industry. In addition to the challenges of regulating FinTech, technology is playing an ever increasing role in regulation itself, particularly financial regulation. RegTech (“regulatory technology”) not only offers the potential for cost savings in financial institutions but more importantly offers the opportunity for regulators to perform their functions more effectively. The combination of FinTech and RegTech offers the potential to frame the development of a very different financial system from that which existed 20 or even 10 years ago. China offers perhaps the best example of the speed and magnitude of change which is possible and India is perhaps the best example of a transition now in progress. FinTech is thus central to the future of finance in Asia as well as around the world.

General Research Fund “The Constitutional Foundations of Free Markets: Economic Provisions of the Hong Kong Basic Law in Comparative Perspective” (January 2018 – December 2020)

Principal Investigator: Dr Eric Ip
Project Amount: HK\$819,984

Project Objective: (1) Uncover the historical, political, and economic factors that led to the adoption of the economic provisions of the Hong Kong Basic Law. (2) Analyse the constitutional rules that regulate the relations between the Hong Kong and mainland China economies. (3) Analyse the constitutional rules that regulate the relations between the Hong Kong economy and the international economy. (4) Compare and contrast the economic provisions of the Hong Kong Basic Law with analogous or similar provisions in relevant constitutional documents from the rest of the world. (5) Examine the future prospects of the economic provisions of the Basic Law, especially after 1 July 2047, the earliest date of their expiry.

Seed Fund for Basic Research “How EU Judges Behave in Competition Litigations” (July 2017 - September 2019)

Principal Investigator: Dr Angela Zhang
Project Amount: HK\$150,000

Project Objective: The Court of Justice of the European Union is the Supreme Court in the EU. Despite its preeminent role in the development and enforcement of EU law, little research has been carried out so far to study the behavior of the Court's judges. The proposed research attempts to address this gap. The first stage of the research conducts a quantitative study of the personal background of EU judges appointed since the establishment of the Court of Justice in 1952. It then examines how the patterns of judicial appointment can influence the institutional features (e.g.,

structure, operation, language and culture) of the Court of Justice and how such features can in turn influence judicial decision-making. The quantitative analysis is further advanced at the second stage to study the correlation, if any, between judicial background and judicial outcome (i.e. how judges decide a case) in specific areas of EU law. The research will not only have important implications for future reform of the Court of Justice, but also for the general study of judicial behavior.

General Research Fund “Buyer Power under Competition Law: A Theoretical Examination and a Case Study of Hong Kong” (January 2017 – December 2019)

Principal Investigator: Kelvin Kwok

Project Amount: HK\$508,496

Project Objective: (1) To develop general theories of harm which help to explain the motivation behind and predict the consequences of unilateral buyer power abuses. (2) To comprehensively specify conditions for illegality for different types of unilateral buyer power abuse and workable legal remedies under generally accepted principles of competition law. (3) To apply the theoretical/legal framework developed as part of objectives (1) and (2) to analyse and make legal and policy recommendations for rectifying problems of unilateral buyer power abuse in different industries in Hong Kong. (4) To help nurture undergraduate researchers by engaging them in a serious academic research project in the hope that they will be attracted to academia.

General Research Fund “Rethinking Directorial and Shareholder Accountability” (July 2016 – December 2018)

Principal Investigator: Kelvin Kwok

Project Amount: HK\$352,500

Project Objective: (1) To examine and critique the two most important and pervasive assumptions underlying the theory and practice of UK corporate governance: first, directors are and ought to be accountable to shareholders; and second, shareholders generally do not and should not owe duties to the company. (2) To propose and defend new enforcement measures of promoting directorial and shareholder accountability.

Theme-based Research Scheme “Enhancing Hong Kong’s Future as a Leading International Financial Centre” (September 2012 – August 2017)

Project Coordinator: Professor Douglas W. Arner

Project Amount: HK\$15,000,000

By the end of the 20th century, Hong Kong had emerged as one of the world’s major international financial centres. Today, while finance remains central to Hong Kong’s future, it is facing unprecedented challenges, both in China and globally. In the context of China, the continuing process of economic reform and financial development raises many opportunities but at the same time brings into question Hong Kong’s traditional role as the primary intermediary between China and the global financial system. At the same time, the global and European financial crises have raised fundamental questions about finance, exchange rate systems, the global position of China, and the future role of the renminbi, including Hong Kong’s role therein. Reflecting the centrality of finance to Hong Kong, Article 109 of the Hong Kong Basic Law, ascribes the Hong Kong Government an obligation “to provide an appropriate economic and legal environment for the maintenance of the status of Hong Kong as an international financial centre”. However, it has yet to take a comprehensive approach to this obligation or to consider its strategic and practical implications. This project, built around a team of internationally recognized experts from economics / finance, geography, law, and international relations, will analyze the elements required not only to maintain, but also enhance, Hong Kong’s future as an international financial centre, focusing on its role in China’s ongoing financial liberalization and economic development.

Strategic Research Theme: Law, Policy and Development – Sub Theme “Corporate and Financial Law” (November 2008 – October 2011)

Principal Investigator: Professor Douglas W. Arner
Project Amount: HK\$500,000

Project Objective: (1) Support, through policy-relevant research and public-private-academic dialogue and policy and legal reform, the continued development of Hong Kong as Asia’s cosmopolitan “world city” and commercial / financial centre. (2) The LPD SRT underscores the continuing development of public policy research at HKU, reflecting existing strengths especially in the Faculties of Law, Social Science, Architecture and Business and Economics, and seeking to confirm the University as the pre-eminent international centre for such research in Asia.

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