

ASIAN INSTITUTE OF INTERNATIONAL FINANCIAL LAW
FACULTY OF LAW, THE UNIVERSITY OF HONG KONG

Seminar

**Market Misconduct: Its Coverage
and Must Know Recent
Developments**

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The Hong Kong Institute of Chartered Secretaries (HKICS)

Professional Advisory Board Member, Asian Institute of International Financial Law

Monday, 24 November 2014

12:30 - 1:30 pm

**Academic Conference Room, 11/F Cheng Yu Tung Tower
The University of Hong Kong**

The speaker will go through the basics of Market Misconduct under the SFO and bring out recent regulatory tools and philosophy from the *Tiger Asia* case and cross border issues from *SFC v EY* case and other recent developments.

Mohan Datwani *FCIS FCS, LLB LLM MBA (Distinction) (Iowa) Solicitor & Accredited Mediator, Director, Technical and Research, HKICS*

Mr Mohan Datwani (Mo) was founder of a law firm that served a bank group in real estate, asset finance, banking advisory and legal and compliance work. When the market headed South following the Asian Financial crisis, he resolved workouts and insolvencies for the bank group's loans, including advising and applying for a Court Appointed Receiver over Shanghai Land's pledged holding company to unravel this scandal of the era for the bank group. Following merger with Paul Hastings, he became partner and advised the bank group's proprietary investment as consortium member into IFC with MTRC. He also served an international clientele including the likes Goldman Sachs' Special Situations Group and a major developer group. He handled legal and compliance work, defended insider dealing cases, and unwound complex cross border and fraud cases, including obtaining a US\$2b judgment in a case. With his legal and compliance background, he was invited to join a listed issuer with mine development in Western Mongolia. He dealt with AML and corruption related concerns, including devising compliance manuals, and regulatory reporting and filings. After a sabbatical to complete his MBA, he now works for HKICS to promote good corporate governance. He has a number of Government appointments with the Financial Reporting Review Panel, Inland Revenue Ordinance (Board of Review) and the Board of Advisors of Radio Television Hong Kong.

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email Flora Leung at fkleung@hku.hk to reserve a place.**