Welcome to the first issue of AIIFL News and to the end of 2020 – and best wishes from all of us at the Asian Institute of International Financial Law for a better 2021!

Douglas Arner
AIIFL Director
douglas.arner@hku.hk

Announcements

Looking Back Looking Forward
In January 2020 we launched a new series of videos looking at finance, technology and regulation.

The final episode of Series 1 is now out: Ant Group & Global Digital Finance Platforms.

The full Series 1 is available HERE

Applications now open:

Master of Laws in Corporate and Financial Law

Master of Laws in Compliance and Regulation

Our LLM CFL and LLM CR are today the premier postgraduate programmes for the study of corporate and financial law, regulation, compliance and technology in Asia. These two programmes highlight the key themes and issues driving global finance today: regulation, technology, sustainability, globalisation, fragmentation.
Both programmes are now accepting applications for the 2021-2022 academic year and are available to full and part-time candidates from across the world, from all backgrounds including those who have not studied law.

Deadline for application: 1 March 2021.

**Events and activities**

**HKU FinTech Day 2020** (3-4 November 2020)

The 4th Annual HKU FinTech Day was held online over the span of two days and attracted over 1400 registrations, with attendees from over 70 countries. Recordings available at: [hkufintech.com](http://hkufintech.com)

**Selected lectures, seminars and webinars**

**Security Token Offerings** (11 December 2020)
Daniel Liebau, LightBulb Capital & Rotterdam School of Management, Erasmus University
Video [HERE](http://HERE)

**Tax Residency, Investment Opportunities and Retirement Considerations for the Expat post-COVID-19** (9 December 2020)
Sarah Hinchliffe, Long Island University
Video [HERE](http://HERE)

**Financial Inclusion, A Hong Kong Perspective** (30 June 2020)
Emily Lee, Faculty of Law, The University of Hong Kong

**Webinar series: Coping with legal challenges arising from the pandemic**

**COVID 19 - A Trigger for Mindset, Policy and Infrastructure Changes Regarding AI, LawTech and RegTech** (6 May 2020)
Brian Tang, Executive Director, LITE Lab@HKU, Faculty of Law, HKU

**Digital Finance & Crisis** (15 April 2020)
Douglas Arner, Kerry Holdings Professor in Law and AIIFL Director, HKU

**Publications and reports**

**AIIFL books and papers**

**Elgar Research Handbook on Asian Financial Law**
Douglas Arner, Wai Yee Wan, Andrew Godwin, Wei Shen, Evan Gibson

**Joint Submission to HKEX’s July 2020 Consultation Paper on Paperless Listing**
Syren Johnstone, Frederick Long
Selected articles, books and reports from the AIIFL team

**Stablecoins: Risks, Potential and Regulation**
Douglas Arner, Raphael Auer, Jon Frost

Ross Buckley, Douglas Arner, Dirk Zetzsche, Eriks Selga

**Coordinating Prudential Regulation and Secured Transactions Frameworks: A Primer**
Giuliano Castellano, Pratibha Chhabra, John Wilson, Mahesh Uttamchandani

**After Libra, Digital Yuan and COVID-19: Central Bank Digital Currencies and the New World of Money and Payment Systems**
Anton Didenko, Dirk Zetzsche, Douglas Arner, Ross Buckley

**Judicial Diplomacy in the Asia-Pacific: Theory and Evidence from the Singapore-Initiated Transnational Judicial Insolvency Network**
Emily Lee, Eric Ip

**Financial Inclusion and Bank Account Opening in Hong Kong**
Emily Lee

**Applying 'Deep ESG' to Asian Private Equity**
Frederick Long, Syren Johnstone

**Re-enfranchising the Investor of Intermediated Securities**
Maisie Ooi

**Rethinking the Characterisation of Issues Relating to Securities**
Maisie Ooi

**Joint Response to IOSCO Consultation with Public Comment on The Use of Artificial Intelligence and Machine Learning by Market Intermediaries and Asset Manager**
Brian Tang

**The Chiron Imperative – A Framework of Six Human-In-The-Loop Paradigms to Create Wise and Just AI-Human Centaurs**
Brian Tang

**The LegalTech Book: The Legal Technology Handbook for Investors, Entrepreneurs and FinTech Visionaries**
Brian Tang

**Artificial Intelligence in Finance: Putting the Human in the Loop**
Dirk Zetzsche, Douglas Arner, Ross Buckey, Brian Tang
**Selected media**

Podcast: All You Need to Know About Ant Group (and its canceled IPO)
Douglas Arner

OpEd: Hong Kong Possesses All Attributes for Full Recovery
Richard Cullen

How Financial Regulation Has Altered the Cryptoasset Landscape
Syren Johnstone

Hong Kong Authorities Release Recommendations for Regtech Adoption
Brian Tang

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**Research projects, honours and awards**

**HKU FinTech**

The HKU-edX FinTech Professional Certificate was shortlisted for the 2020 edX Prize. The foundation course Introduction to FinTech now has over 80,000 learners spread across every country in the world except North Korea.

**Research grants and projects**

Hong Kong Research Grants Council Senior Fellowship (HKD 7.8 million, 2020-2025): Digital Finance, Financial Inclusion and Sustainability: Building Better Financial Systems
Douglas Arner

Project Report: Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility inside Hong Kong’s Anti-Money Laundering Law [HERE]
Public Policy Research (PPR) Funding Scheme Project No.: 2017.A8.064.17C
Emily Lee

**BIS-HKMA TechChallenge**

AIIFL’s Giuliano G. Castellano teamed up with the Kozolchyk National Law Center (NatLaw) and INVIOU to provide a legal, regulatory and technological solution to address the problems affecting trade-finance form small- and medium-sized enterprises (SMEs). Such a solution has been shortlisted for the TechChallenge of the Bank for International Settlements (BIS) and the Hong Kong Monetary Authority (HKMA). Their solution consists of a platform designed to operate across jurisdictions, flagging applicable legal rules, regulatory compliance issues, and fraud
risks for a variety of trade-finance products. Through this product-neutral, scalable platform lenders are equipped with an effective and simple tool to manage risks associated with SME financing without changing their technology and processes. [HERE]

Visit the AIIFL website to explore more about us. Follow us on LinkedIn

If you have any questions, please contact us at fkleung@hku.hk.