

**ASIAN INSTITUTE OF INTERNATIONAL FINANCIAL LAW
FACULTY OF LAW
THE UNIVERSITY OF HONG KONG**

**Reflections on Financial Markets
Regulation in Hong Kong and Globally**

Ashley Alder, JP

Chief Executive Officer, Securities and Futures Commission

Friday, 23 March 2018

12:30 – 1:30 pm

**New Venue: Large Moot Court, 2/F Cheng Yu Tung Tower
The University of Hong Kong**

The Seminar will focus on:

- SFC “front loaded” regulation of listed markets and financial services firms: what is it and what does it mean?
- Hong Kong development as an IFC – regulation and market integration
- International Financial Regulation – IOSCO and the Financial Stability Board 10 years after the crisis

Ashley Alder is the Chief Executive Officer of the Securities and Futures Commission (SFC) and the Chairman of the Board of the International Organization of Securities Commissions.

Ashley started his career as a lawyer in London in 1984. He came to Hong Kong in 1989 with the international law firm Herbert Smith, practising corporate and business law. He was Executive Director of Corporate Finance at the SFC from 2001 to 2004, before returning to Herbert Smith, later as head of the firm’s Asia Region. He rejoined the SFC in 2011.

Ashley attained his Bachelor of Laws degree from the University of London in 1982 and graduated from the University of Cambridge with a Master of Laws degree in 1983.

Please register online via www.AIFL.com to reserve a place.

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This Seminar is organised by the AIFL Student Fellow.