2013 Workshop on Harmonizing Cross-Strait Financial Regulations

Venue: Academic Conference Room, 11/F Cheng Yu Tung Tower, Faculty of Law, University of Hong Kong, Centennial Campus, Pokfulam Road, Hong Kong

Co-organizers: Asian Institute of International Financial Law
Department of Real Estate and Construction
Centre for Chinese Law
Theme-based Research: Enhancing Hong Kong’s Future as a Leading International Financial Centre

12 April 2013, Friday
Arrival

13 April 2013, Saturday
8:50 – 9:30  Registration
9:30 – 9:45  Welcoming remarks

Prof. Say GOO, Director, Asian Institute of International Financial Law, The University of Hong Kong
Prof. David LUNG, Dean, Faculty of Architecture, The University of Hong Kong
Note: Presenters’ name in BOLD denotes the presentations are in English. Other presentations will be conducted in Putonghua.

9:45 – 11:00 Opening Panel: Developing Cross-Straits Financial Markets

Chair: Prof. Say GOO, Faculty of Law, The University of Hong Kong

Prof. Sarah WORTHINGTON, Faculty of Law, University of Cambridge
The Modern Concerns of Corporate Governance

Prof. Ciyun ZHU, School of Law, Tsing Hua University
Research on the Governance of Financial Holding Companies in Mainland China

Mr. Gordon JONES, Former Hong Kong Registrar of Companies
Corporate Governance Reforms in the new Companies Ordinance and Major Corporate Governance Issues facing Hong Kong

11:00 – 11:15 Tea Break

<table>
<thead>
<tr>
<th>Time</th>
<th>Venue: Academic Conference Room, 11/F Cheng Yu Tung Tower</th>
<th>Venue: Room A824, 8/F Cheng Yu Tung Tower</th>
<th>Venue: Room A825, 8/F Cheng Yu Tung Tower</th>
</tr>
</thead>
<tbody>
<tr>
<td>11:15 – 12:30</td>
<td>Regulation of Financial Institutions</td>
<td>Corporate Governance</td>
<td>Consumer Protection and Financial Dispute Resolution</td>
</tr>
<tr>
<td></td>
<td>Chair: Prof. Shijian MO Dean, Faculty of Law, University of Macau</td>
<td>Chair: Dr. Gengming HONG Faculty of Law, Shanghai University of Finance and Economics</td>
<td>Chair: Prof. Sanqiang QU Dean, School of Law, Beijing Institute of Technology</td>
</tr>
<tr>
<td></td>
<td>Dr. Xiaoyan SONG School of Law, Shanghai University of Finance and Economics The Influence of International Finance Reform on Mainland China</td>
<td>Prof. Teh-Jui LIN Department of Financial and Economic Law, National Chung Cheng University A Study on Taiwan’s Legislation and Practice of “Piercing the Corporate Veil Theory”</td>
<td>Prof. Yuan-Ho LAI College of Law, National Chengchi University The New Financial Dispute Resolution Scheme in Taiwan</td>
</tr>
</tbody>
</table>
| Dr. Yen-Lin Agnes CHIU | School of Law, Fu Jen Catholic University  
*The Economic and Monetary Union: From Potpourri of Powers towards Future Convergence*  
Student Helper: Raymond WONG |
|------------------------|------------------------------------------------------------------------------------------------|
| Dr. Ta-Wei KUO | School of Law, Fu Jen Catholic University  
*Rethinking the Financial Supervision in Taiwan – Focus on Supervision of Financial Conglomerates*  
Student Helper: Jiaolong LIANG, Amy SONG |
| Dr. Hsin-Ti CHANG | College of Law, National Taipei University  
*Shareholders’ Rights of Inspection* |
| Dr. Maisie OOI | Faculty of Law, The University of Hong Kong  
*Corporate Governance and Cross Border Issues* |
| Prof. HF LEUNG | Department of Real Estate and Construction, The University of Hong Kong  
*The Development of mediation in the resolution of financial disputes in Hong Kong*  
Student Helper: Alixe LECLERCQ, Changwu ZUO |

### 12:30 – 14:00
- **Lunch**

### 14:00 – 15:15

#### Session 2

<table>
<thead>
<tr>
<th><strong>Financial Liberalization</strong></th>
<th><strong>Investment</strong></th>
<th><strong>Securities Markets and Regulation (1):</strong></th>
</tr>
</thead>
</table>
| Chair: Prof. ZHANG Xianchu  
Faculty of Law, The University of Hong Kong | Chair: **Prof. Shijian MO**  
Faculty of Law, University of Macau | Chair: **Dr. Gengming HONG**  
Faculty of Law, Shanghai University of Finance and Economics |
| Dr. Wei WANG | **Dr. Ruoying CHEN**  
Law School, Peking University  
*Analysis of the “Value Adjustment Mechanism” from Law and Economic Perspective - with Comments in the Regulation of the Domestic Investment* | **Prof. Wei SHEN**  
Ko Guan Law School, Shanghai Jiao Tong University  
*Regulating Shadow Banking System in China* |
| School of Law, Fudan University  
*The Legal Status of Hong Kong/Macao/Taiwan Banks in Mainland China* | **Dr. Gail E. HENDERSON**  
Faculty of Law, University of Alberta  
*Understanding “Net Benefit”: The Regulation of Foreign Investment in Canada* | **Prof. Jer-Shenq SHIEH**  
College of Law, National Chung Cheng University  
*A Review of the Law Concerning Real Estate Securitization in Taiwan* |
| Ms. Heather Lee  
Development of Credit Rating Agencies in China  
Student Helper: Jing JIN | Student Helper: Alixe LECLERCQ  
Prof. Duoqi XU  
Shanghai Jiaotong Law School  
Information Supervision: the Optimum Choice of China’s Supervision of Credit Assets Securitization  
Student Helper: Jiaolong LIANG, Raymond WONG |  
15:15 – 15:45  
Tea Break  
15:45 – 17:00  
Session 3  
Insolvency  
Chair: Prof. Weiguo WANG  
Dear, School of Civil, Commercial and Economic Law, China University of Political Science and Law  
Prof. Chih-Cheng WANG  
College of Law, National Chung Cheng University  
The Bankruptcy Regulation of Multinational Enterprise Group: From a Comparative Analysis  
Dr. Jianbo LOU  
Law School, Peking University  
The Liquidation and Bankruptcy of Banks in China  
Prof. Io Cheng TONG  
Faculty of Law, University of Macau  
A review of the Institution of Bankruptcy in Macau | Property Rights  
Chair: Prof. Hongfei, XING  
School of Law, Hohai University  
Dean Xi ZHA  
School of Political Science & Law, Xizang University  
Research on the Legal Issues of the Property Rights of the Temples in Tibet  
Mr. Li WANG  
School of Political Science & Law, Xizang University  
Research on Issues of Tibet’s Agricultural and Pastoral Areas’ Civil Custom  
Prof. Say GOO  
Faculty of Law, The University of Hong Kong  
Small House Policy and Housing Issues in Hong Kong: Implication for Hong Kong's Competitiveness as an IFC | Securities Markets and Regulation (2):  
Chair: Prof. Guanghua YU  
Director, Centre for Chinese Law, The University of Hong Kong  
Prof. Kuang Ming WU  
College of Law, National Taipei University  
Research on the Culture of the Securities Class Action – Comparative on the Securities Law between U.S.A and Taiwan  
Dr. Yen-Liang CHEN  
College of Law, National Chung Cheng University  
A study on the System and Supervision of the Securities Exchange Market – in Light of the German Legal System  
Mr. Antonio DA ROZA  
Faculty of Law, The University of Hong Kong  
A History of Investor Protection in
<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>17:00 – 17:30</td>
<td>Discussion and Summary</td>
</tr>
<tr>
<td>18:30</td>
<td>Workshop Dinner, American Club, Exchange Square (Speakers only)</td>
</tr>
</tbody>
</table>

**14 April 2013, Sunday (Speakers only)**
Auberge Discovery Bay Hong Kong, 92 Siena Avenue, Discovery Bay, Lantau Island, Hong Kong

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>9:30 – 11:30</td>
<td>The participants will meet on the second day to discuss collaboration and draft an outline of their research plan.</td>
</tr>
<tr>
<td>11:30 – 12:30</td>
<td>Report of research plan by the Panel Chairmen and discuss how it will be built into the theme of the workshop.</td>
</tr>
<tr>
<td>12:30 – 14:00</td>
<td>Lunch</td>
</tr>
</tbody>
</table>

**15 April 2013, Monday**

<table>
<thead>
<tr>
<th>AM</th>
<th>Free time</th>
</tr>
</thead>
<tbody>
<tr>
<td>PM</td>
<td>Departure</td>
</tr>
</tbody>
</table>