



香 港 大 學

THE UNIVERSITY OF HONG KONG

2013 Workshop on Harmonizing Cross-Strait Financial Regulations

Venue: Academic Conference Room, 11/F Cheng Yu Tung Tower, Faculty of Law, University of Hong Kong, Centennial Campus, Pokfulam Road, Hong Kong

Co-organizers: Asian Institute of International Financial Law
Department of Real Estate and Construction
Centre for Chinese Law
Theme-based Research: Enhancing Hong Kong's Future as a Leading International Financial Centre

12 April 2013, Friday

Arrival

13 April 2013, Saturday

8:50 – 9:30 Registration

9:30 – 9:45 **Welcoming remarks**

Prof. Say GOO, Director, Asian Institute of International Financial Law, The University of Hong Kong

Prof. David LUNG, Dean, Faculty of Architecture, The University of Hong Kong

Note: Presenters' name in **BOLD** denotes the presentations are in English. Other presentations will be conducted in Putonghua.

9:45 – 11:00 **Opening Panel: Developing Cross-Straits Financial Markets**

Chair: **Prof. Say GOO**, Faculty of Law, The University of Hong Kong

Prof. Sarah WORTHINGTON, Faculty of Law, University of Cambridge

The Modern Concerns of Corporate Governance

Prof. Ciyun ZHU, School of Law, Tsing Hua University

Research on the Governance of Financial Holding Companies in Mainland China

Mr. Gordon JONES, Former Hong Kong Registrar of Companies

Corporate Governance Reforms in the new Companies Ordinance and Major Corporate Governance Issues facing Hong Kong

11:00 – 11:15 Tea Break

	Venue: Academic Conference Room, 11/F Cheng Yu Tung Tower	Venue: Room A824, 8/F Cheng Yu Tung Tower	Venue: Room A825, 8/F Cheng Yu Tung Tower
11:15 – 12:30	Session 1		
	<p>Regulation of Financial Institutions</p> <p>Chair: Prof. Shijian MO Dean, Faculty of Law, University of Macau</p> <p>Dr. Xiaoyan SONG School of Law, Shanghai University of Finance and Economics <i>The Influence of International Finance Reform on Mainland China</i></p>	<p>Corporate Governance</p> <p>Chair: Dr. Gengming HONG Faculty of Law, Shanghai University of Finance and Economics</p> <p>Prof. Teh-Jui LIN Department of Financial and Economic Law, National Chung Cheng University <i>A Study on Taiwan's Legislation and Practice of "Piercing the Corporate Veil Theory"</i></p>	<p>Consumer Protection and Financial Dispute Resolution</p> <p>Chair: Prof. Sanqiang QU Dean, School of Law, Beijing Institute of Technology</p> <p>Prof. Yuan-Ho LAI College of Law, National Chengchi University <i>The New Financial Dispute Resolution Scheme in Taiwan</i></p>

	<p>Dr. Yen-Lin Agnes CHIU School of Law, Fu Jen Catholic University <i>The Economic and Monetary Union: From Potpourri of Powers towards Future Convergence</i></p> <p>Dr. Ta-Wei KUO School of Law, Fu Jen Catholic University <i>Rethinking the Financial Supervision in Taiwan – Focus on Supervision of Financial Conglomerates</i></p> <p>Student Helper: Raymond WONG</p>	<p>Dr. Hsin-Ti CHANG College of Law, National Taipei University <i>Shareholders' Rights of Inspection</i></p> <p>Dr. Maisie OOI Faculty of Law, The University of Hong Kong <i>Corporate Governance and Cross Border Issues</i></p> <p>Student Helper: Jiaolong LIANG, Amy SONG</p>	<p>Prof. HF LEUNG Department of Real Estate and Construction, The University of Hong Kong <i>The Development of mediation in the resolution of financial disputes in Hong Kong</i></p> <p>Dr. Hualing PANG School of Law, Beijing Institute of Technology <i>An Analysis of the Protection of Financial Consumer's Rights and Interests</i></p> <p>Student Helper: Alixe LECLERCQ, Changwu ZUO</p>
12:30 – 14:00	Lunch		
14:00 – 15:15	Session 2		
	<p>Financial Liberalization</p> <p>Chair: Prof. ZHANG Xianchu Faculty of Law, The University of Hong Kong</p> <p>Dr. Wei WANG School of Law, Fudan University <i>The Legal Status of Hong Kong/Macao/Taiwan Banks in Mainland China</i></p> <p>Prof. Tu-Mu KUO School of Law, Fu Jen Catholic University <i>The Context of Approval and Supervision of Foreign Derivative Financial Products</i></p>	<p>Investment</p> <p>Chair: Prof. Shijian MO Faculty of Law, University of Macau</p> <p>Dr. Ruoying CHEN Law School, Peking University <i>Analysis of the "Value Adjustment Mechanism" from Law and Economic Perspective - with Comments in the Regulation of the Domestic Investment</i></p> <p>Dr. Gail E. HENDERSON Faculty of Law, University of Alberta <i>Understanding "Net Benefit": The Regulation of Foreign Investment in Canada</i></p>	<p>Securities Markets and Regulation (1):</p> <p>Chair: Dr. Gengming HONG Faculty of Law, Shanghai University of Finance and Economics</p> <p>Prof. Wei SHEN Ko Guan Law School, Shanghai Jiao Tong University <i>Regulating Shadow Banking System in China</i></p> <p>Prof. Jer-Sheng SHIEH College of Law, National Chung Cheng University <i>A Review of the Law Concerning Real Estate Securitization in Taiwan</i></p>

	<p>Ms. Heather Lee Development of Credit Rating Agencies in China</p> <p>Student Helper: Jing JIN</p>	<p>Student Helper: Alixe LECLERCQ</p>	<p>Prof. Duoqi XU Shanghai Jiaotong Law School <i>Information Supervision: the Optimum Choice of China's Supervision of Credit Assets Securitization</i></p> <p>Student Helper: Jiaolong LIANG, Raymond WONG</p>
15:15 – 15:45	Tea Break		
15:45 – 17:00	Session 3		
	<p>Insolvency</p> <p>Chair: Prof. Weiguo WANG Dear, School of Civil, Commercial and Economic Law, China University of Political Science and Law</p> <p>Prof. Chih-Cheng WANG College of Law, National Chung Cheng University <i>The Bankruptcy Regulation of Multinational Enterprise Group: From a Comparative Analysis</i></p> <p>Dr. Jianbo LOU Law School, Peking University <i>The Liquidation and Bankruptcy of Banks in China</i></p> <p>Prof. Io Cheng TONG Faculty of Law, University of Macau <i>A review of the Institution of Bankruptcy in Macau</i></p>	<p>Property Rights</p> <p>Chair: Prof. Hongfei, XING School of Law, Hohai University</p> <p>Dean Xi ZHA School of Political Science & Law, Xizang University <i>Research on the Legal Issues of the Property Rights of the Temples in Tibet</i></p> <p>Mr. Li WANG School of Political Science & Law, Xizang University <i>Research on Issues of Tibet's Agricultural and Pastoral Areas' Civil Custom</i></p> <p>Prof. Say GOO Faculty of Law, The University of Hong Kong <i>Small House Policy and Housing Issues in Hong Kong: Implication for Hong Kong's Competitiveness as an IFC</i></p>	<p>Securities Markets and Regulation (2):</p> <p>Chair: Prof. Guanghua YU Director, Centre for Chinese Law, The University of Hong Kong</p> <p>Prof. Kuang Ming WU College of Law, National Taipei University <i>Research on the Culture of the Securities Class Action – Comparative on the Securities Law between U.S.A and Taiwan</i></p> <p>Dr. Yen-Liang CHEN College of Law, National Chung Cheng University <i>A study on the System and Supervision of the Securities Exchange Market – in Light of the German Legal System</i></p> <p>Mr. Antonio DA ROZA Faculty of Law, The University of Hong Kong <i>A History of Investor Protection in</i></p>

	Student Helper: Raymond WONG	Prof. Lawrence W C LAI Department of Real Estate and Construction, The University of Hong Kong <i>Planning Regulation (TBC)</i> Student Helper: Jiaolong LIANG, Jing JIN	<i>Securities Regulation in Hong Kong</i> Student Helper: Amy SONG, Changwu ZUO
17:00 – 17:30	Discussion and Summary		
18:30	Workshop Dinner, American Club, Exchange Square (Speakers only)		

14 April 2013, Sunday (Speakers only)

Auberge Discovery Bay Hong Kong, 92 Siena Avenue, Discovery Bay, Lantau Island, Hong Kong

9:30 – 11:30	The participants will meet on the second day to discuss collaboration and draft an outline of their research plan.
11:30 – 12:30	Report of research plan by the Panel Chairmen and discuss how it will be built into the theme of the workshop.
12:30 – 14:00	Lunch

15 April 2013, Monday

AM	Free time
PM	Departure